

**AGENDA BACK-UP
PART 1 OF 2**

ALBANY COUNTY LEGISLATURE



AUGUST 8, 2016

RESOLUTION NO. 247

ENDORISING THE CRITERIA AND PROCEDURES FOR DETERMINING
ASSIGNED COUNSEL ELIGIBILITY PROMULGATED BY THE NEW YORK
STATE OFFICE OF INDIGENT LEGAL SERVICES

Introduced: 6/13/16

By Mr. Ward:

WHEREAS, In 2015, the New York State Office of Indigent Legal Services (ILS) was designated by the State of New York to implement the settlement of the class action lawsuit *Hurrell-Harring v. State of New York*, and as part of fulfilling that responsibility undertook its own comprehensive examination of eligibility processes across the State for the assignment of counsel, and

WHEREAS, ILS then developed, with the assistance of the New York State Defenders Association and others, and has now promulgated "Criteria and Procedures for Determining Assigned Counsel Eligibility" consistent with the agreed-upon terms of the *Hurrell-Harring* settlement relating to eligibility determinations and pursuant to its authority under Executive Law §832(3)(c), and

WHEREAS, implementation of those ILS standards to offer guidance in determining eligibility will improve the fairness and efficiency of eligibility determinations across the State, benefitting potential public defense clients, the judiciary and justice, now, therefore be it

RESOLVED, that the Albany County Legislature hereby fully endorses the "Criteria and Procedures for Determining Assigned Counsel Eligibility" promulgated by ILS on April 4, 2016, and, be it further

RESOLVED, That the Clerk of the County Legislature is directed to forward certified copies of this resolution to the Governor, the New York State Senate, Senator Neil Breslin, Senator George Amedore, the New York State Assembly, Assemblymember John McDonald, Assemblymember Patricia Fahy, Assemblymember Phillip Steck and the appropriate County Officials.

Referred to Law Committee. 6/13/16

247

RESOLUTION NO. 293

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "T" FOR 2016

Introduced: 7/11/16

By Messrs. Ward, Commisso, Beston, Miller, Bullock, Ms. Chapman, Messrs. Domalewicz, Ethier, Fein, Mackey, Ms. McKnight, Messrs. Signoracci, Simpson, Smith, Touchette and Ms. Willingham:

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. "T" for 2016, "A Local Law of the County of Albany, New York, Authorizing the County Executive To Negotiate A Lease Agreement of Property Owned By Albany County at the Former Ann Lee Nursing Home With Soldier On, Inc., 360 West Housatonic Street, Pittsfield, Ma 01201, Subject To The Approval of the Albany County Legislature", be held by the County Legislature in the Legislative Chambers, Albany County Courthouse, Albany, New York at 7:15 p.m. on Tuesday, July 26, 2016, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Law and Audit and Finance Committees. 7/11/16

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RESOLUTION NO. 295

PUBLIC HEARING ON PROPOSED LOCAL LAW NO. "K" FOR 2016

Introduced: 7/11/16

By Messrs. Higgins, A. Joyce, Burgdorf, Ms. Cunningham, Messrs. Dawson, Domalewicz, Drake, Grimm, Lekakis, Mayo, McLeane-Lane, Mendick, O'Brien, Reinhardt

RESOLVED, By the County Legislature of the County of Albany that a public hearing on proposed Local Law No. "K" for 2016, "A Local Law of the County of Albany, New York amending section 206 of the Albany County Charter and Local Law No. 8 for 1993 as subsequently amended for the purpose of restructuring the legislature by reducing the number of legislators" to be held by the County Legislature in the Legislative Chambers, Albany County Courthouse, Albany, New York at 7:15 p.m. on Tuesday, July 26, 2016, and the Clerk of the County Legislature is directed to cause notice of such hearing to be published containing the necessary information in accordance with the applicable provisions of law.

Referred to Law Committee. 7/11/16

295



DANIEL P. MCCOY
COUNTY EXECUTIVE

PHILIP F. CALERONE, ESQ.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1220
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTY.COM

DAVID M. LATINA
COMMISSIONER

MARTIN V. MCCLUNE
DEPUTY COMMISSIONER

July 13, 2016

Honorable Sean Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: CFA Grant Application

Dear Chairman Ward:

The Department of General Service respectfully requests Legislative authorization to submit a 2016 Consolidated Funding Application to New York State for the purposes of securing grant funds to assist with the cost of the proposed Market Street Ingress and Egress Project at the TUC Garage.

While there is no Guarantee that this project will receive any awards we feel any attempt to secure additional funding to help offset the cost of the project will be productive.

Applications must be submitted by July 29, 2016 and, if awarded, there will be likely a cash match requirement not to exceed 50% (depending on the grant program).

If you should have any questions, please do not hesitate to contact me.

Sincerely yours,

David M. Latina
Commissioner

DML:pl
Enclosure(s)

cc: Hon. Frank Commisso, Majority Leader
Honorable Frank Mauriello, Minority Leader
F. Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Capital Region Economic Development Council 433 River Street, Suite 1003 Troy, New York 12180
Amount/Raise Schedule/Fee	\$2,000,000.00
Scope of Services	Market Street Ingress and Egress at the TUC Garage Project
<u>Contract Funding:</u>	
Bond Res. No.:	
Date of Adoption:	

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	
Anticipated in Current Adopted Budget	No

County Budget Accounts:

Revenue	
Appropriation	

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	
State	
County	
Local	

Term/Length of Funding: _____

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number	
Date of Adoption	

Justification: (State briefly why legislative action is requested)

The Department of General Service respectfully requests Legislative authorization to submit a 2016 Consolidated Funding Application to New York State for the purposes of securing grant funds to assist with the cost of the proposed Market Street Ingress and Egress Project at the TUC Garage.

While there is no Guarantee that this project will receive any awards we feel an attempt to secure additional funding to help offset the cost of the project will be productive.

Applications must be submitted by July 29, 2016 and, if awarded, there will be likely a cash match requirement not to exceed 50% (depending on the grant program).

Introduction

In 2011, Governor Andrew M. Cuomo created ten Regional Economic Development Councils (REDC) and the Consolidated Funding Application (CFA) to advance the administration's efforts to improve New York's business climate and expand economic growth.

The REDCs developed strategic plans with input from a broad spectrum of stakeholders taking into account the unique strengths and weaknesses of each region. The strategic plans serve as a 5-year road map, guiding each region's efforts to stimulate economic growth. Each region's strategic plan, implementation agenda and progress reports can be found online at www.regionalcouncils.ny.gov

For Round VI, Regional Councils will once again focus on the implementation of each region's strategic plan, and continue to identify and invest in significant economic development projects. The Governor has directed over \$750 million in State resources to be made available in 2016 to support the economic development priorities of the regions and spur job creation across the state, including \$245 million in competitive funds from Empire State Development.

In 2016, REDCs will advance projects and other actions identified for implementation and identify new strategies, as appropriate, to pursue. The Regional Councils are having a positive impact on economic development, helping to bring unemployment levels down through the creation and retention of thousands of jobs.

During Round VI, in addition to the strategies established in their regional strategic economic development plans, each REDC is asked to focus on the following priorities (additional details available in 2016 REDC Guidebook):

- Support the Downtown Revitalization Initiative Plan and identify projects.
- Implementing additional strategies in their strategic plan.
- Maintaining a pipeline of projects.
- Training the workforce for today and tomorrow.
- Measuring the performance and progress of the strategic plan and CFA projects.

In addition to the \$245 million available for the competitive aspect of the Regional Council process, over \$510 million will be available to applicants through the CFA from various State agencies to support economic development projects that align with REDC strategic plans. The agency resources will support projects in the areas of community development, direct assistance to businesses and other organizations, waterfront revitalization, energy, environmental improvements, sustainability, education and workforce development, and low-cost financing.

This year, there are over 30 programs available through 11 state agencies, including Empire State Development; NYS Canal Corporation; NYS Energy Research and Development Authority; Environmental Facilities Corporation; Homes and Community Renewal; Department of Labor; New York Power Authority; Office of Parks, Recreation and Historic Preservation; Department of State; Department of Environmental Conservation, and Council on the Arts. In an effort to provide the most appropriate state resources through this process, participating agencies will be able to review and potentially provide funding to a project that did not specifically apply to that program, if the materials submitted at time of application satisfy the requirements for that program and allow for fair review under the circumstances. In addition, all programs contained in this document will use regional distribution standards to ensure projects are funded throughout the state.

Please be advised that all CFA grants are subject to the New York State Executive Law Article 15-A which requires, where applicable, the establishment of 30% minority- and women-owned business enterprises ("M/WBE") contract goals.

This document outlines information about each agency's grant programs, including eligibility, scoring criteria, applicant requirements, and agency contact information.

2016 Available Resources

Direct Assistance to Business and Other Organizations: Up to \$262 million

- Empire State Development
 - Up to \$175 million for ESD Grant Funds
 - Up to \$70 million for Excelsior Jobs Program
 - Up to \$2.5 million Business Incubator and Innovation Hot Spot Support Program
 - Up to \$1 million for ESD Grants for Strategic Planning and Feasibility Studies
 - Up to \$13.5 million for Market New York

Community Development: Up to \$55 million

- New York State Council on the Arts
 - Up to \$5 million for Arts, Culture and Heritage Projects
- Homes and Community Renewal
 - Up to \$25 million for New York State Community Development Block Grant Program
 - Up to \$5 million for New York Main Street Program
- Office of Parks, Recreation and Historic Preservation
 - Up to \$20 million for Environmental Protection Fund Grants

Waterfront Revitalization: Up to \$20.5 million

- Department of State
 - Up to \$19.5 million for the Local Waterfront Revitalization Program
- New York State Canal Corporation
 - Up to \$1 million for the Canalway Grants Program

Energy: Up to \$60 million

- New York State Energy Research and Development Authority
 - Up to \$60 million for Energy Efficiency Programs
- New York State Power Authority
 - Up to 150MW for the ReCharge NY Program

Environmental Improvements: Up to \$40 million

- Department of Environmental Conservation
 - Up to \$2 million for NYS DEC/EFC Wastewater Infrastructure Engineering Planning Grant
 - Up to \$26 million for the Water Quality Improvement Projects (WQIP) Program
- Environmental Facilities Corporation
 - Up to \$10 million for the Green Innovation Grant Program

Sustainability Planning and Implementation: Up to \$15 million

- Department of Environmental Conservation
 - Up to \$11 million for the Climate Smart Communities Program
- Department of State
 - Up to \$4 million for Local Government Efficiency Grants

Education/Workforce Development: Up to \$5 million

- Department of Labor
 - Up to \$5 million for Workforce Development

Low Cost Financing: Up to \$300 million

- Empire State Development
 - Up to \$300 million for Industrial Development Bond (IDB) Cap



TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMG** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Norma Chapman
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: July 5, 2016

Re: Request For Legislative Action –
EXCLUSIVE NON-ALCOHOLIC BEVERAGE POURING RIGHTS FOR THE
ALBANY COUNTY TIMES UNION CENTER

The current Exclusive Pouring Rights Agreement for non-alcohol beverages with Pepsi expires on December 31, 2016. Albany County Purchasing, along with SMG's assistance, issued a RFP in hopes that we would be able to at least retain the current level of net revenues coming in from this vendor relationship. It was opened up to Coke, Pepsi or any other companies that might have an interest in the contract. The original RFP Number 2016-025 Exclusive Non-Alcoholic Beverage Pouring Rights was issued on May 12, 2016 and the original deadline for bid submissions was May 27, 2016. A pre-bid conference was held on May 19, 2016 at the arena where representatives from both Coke and Pepsi toured the facility. A request was received from one of the interested parties asking if they could have a little more time to review the RFP and asked if the deadline could be extended. An extension was granted to June 10, 2016 and both Coke and Pepsi were notified.

Both Coke and Pepsi expressed that they could not meet the minimum annual fee amount due to the volume of soda sales for the previous year not being high enough. The annual pouring rights fee currently is \$100K and the annual sponsorship fee is \$45K. The RFP included a minimum annual pouring rights fee in the amount of \$100K and a minimum annual sponsorship fee in the amount of \$45K.

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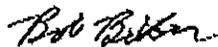
After discussing with Albany County Purchasing, SMG went back to both Coke and Pepsi and explained that the RFP annual fee requirement has been changed to include a minimum annual fee in the amount of \$45K or best offer from each company. However, we explained that the benefit supplied by the arena to Pepsi in the way of a use of a suite for all events...would no longer be provided in the new agreement. Plus, any additional marketing or sponsorship benefits that both Pepsi and Coke might be able to offer beyond the minimum requirement especially for sponsorship support would be viewed favorably.

Coke responded by stating that even at \$45K for a minimum annual fee, they could not meet the minimum and therefore served notice of intent not to bid. Pepsi indicated that they would plan to produce and submit a proposal.

Pepsi's proposal is attached hereto along with a RLA and a copy of the RFP. The net result of this effort has produced an annual pouring rights fee in the amount of \$45,000 plus additional incentive fees expected to be approximately \$15,000 annually depending on sales of Pepsi products at events, plus the arena will lease out the suite previously used by Pepsi, which will produce an additional \$48,500 annually. The total revenue that will likely be collected annually will be roughly \$108,500 annually. Pepsi also has conveyed that it will support and sponsor certain select events over and above the amounts as described above. The difference between the current level of payments at \$145,000 annually versus the \$108,500 annual amount is (\$36,000). We believe Pepsi will likely pay out additional sponsorship dollars for events that interest them, which should keep us very close to the current level of funding received for this pouring rights category.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;



Bob Belber
Regional General Manager

FOR COUNSEL USE ONLY	
Date Received:	<u>7-13-16</u>
Received by:	<u>D.H.</u>
Method: Hand:	<u>✓</u>
Courier:	_____
Mail:	_____

REQUEST FOR LEGISLATIVE ACTION

RLA #1906: Authorization to grant Pepsi exclusive Non-Alcohol Pouring Rights

DATE: Thursday, July 07, 2016

DEPARTMENT: County Executive

Contact Person: Michael Lalli, Policy Analyst

Telephone: 518-447-5642

Dept. Representative Attending Committee Meeting: Michael McLaughlin, Director

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: _____
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) X

Exclusive Non-Alcohol Beverage Pouring Rights Agreement

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Bottling Group LLC. (Pepsi)
ATTN: James Heleferich, Sr. Key Account Manager
1 Pepsi Cola Drive
Latham, NY 12110

Amount/Raise Schedule/Fee \$450,000.00

Scope of Services The Times Union Center will grant Pepsi exclusive Non-Alcohol
Pouring Rights for a term of 10 years.

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____

State _____

County _____

Local _____

Term/Length of Funding: 120 Months (1/1/2017 - 12/31/2026)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____

Date of Adoption _____

Justification: (State briefly why legislative action is requested)

This is a revenue generating contract for Albany County in conjunction with the operation of the Albany County Civic Center. There was only one bidder that submitted a bid in conjunction with the RFP #2016-025. Both Pepsi and Coke declared prior to the original deadline of the RFP that neither could meet the minimum annual fee requirement within the RFP, which was set at the same levels as those contained within the current Pouring Rights Agreement. However, due to the volume of soda sales being lower than in previous years, the two soda companies could not meet the original requirement within the RFP. Therefore, the submission deadline was extended to Friday, June 10, 2016 at 4:30 pm and the minimum annual fee was reduced down to \$45,000 and each of the two soda companies were urged to submit their best offer.

Coke returned a notice that they would not be bidding on the opportunity even with the lower annual fee. Pepsi submitted a proposal with an annual fee of \$45,000 plus additional incentive fees based on the removal of fountain soda and based on volume sales of bottle and can soda and other non-alcohol products sold by Pepsi as per their submitted bid.

Clarification:

Since their proposal requested 12 lower level tickets per event throughout the term of the agreement, a clarification question was submitted to Pepsi wherein we explained that there are certain events that are restricted due to the fact that the arena would have to pay for the tickets where there are "no-comp: shows. We informed Pepsi that we would provide 12 complimentary tickets for all "non-restricted" events and Pepsi agrees. This will therefore not be a cost to Albany County.

Signage on the concourse level within the arena bowl of the arena is not an issue since they will be advertised on the LED ribbon boards and video scoreboard as a continued sponsor of the arena.

Another very important clarification is that Pepsi will not be getting the use of the suite that previously was within the Agreement. Therefore, as of January 1, 2017, Suite #3 will be leased to Berkshire Bank & Caldwell Bankers who have been first on the waiting list for some time. This will bring an additional \$48,500 annually into the arena's operating revenues.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1906/TUC Back Up 1.docx>

Submitted by:	<u>Michael McLaughlin</u>
Title:	<u>Director</u>



DANIEL P. MCCOY
COUNTY EXECUTIVE

PHILIP F. CALERONE, ESQ.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1220
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTY.COM

DAVID M. LATINA
COMMISSIONER

MARTIN V. MCCLUNE
DEPUTY COMMISSIONER

July 13, 2016

Honorable Sean Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, New York 12207

Re: TUC Arena Lighting Project – Electrical Construction

Dear Chairman Ward:

The Department of General Services respectfully requests authorization to accept the bid from AMAHA Electrical, Inc. in the amount not to exceed \$1,425,840.00 in regards to the Times Union Center Arena Lighting Project.

The lights in the main arena are 25 years old, and must have mechanical shades for instant on and off. New technology allows for LED lights with instant on and off, cleaner lighting for television and game presentation and energy efficiency. Also, included in this project are 2 additional energy savings measures, all replacing 25 year old equipment. Work includes a retrofit of our purge fans and heat recovery air handling units.

This project has been included in the 2015-2019 Capital Plan and a SEQR has been completed.

If you should have any questions, please do not hesitate to contact me.

Sincerely yours,



David M. Latina
Commissioner

DML:pl
Enclosure(s)

cc: Hon. Frank Commisso, Majority Leader
Honorable Frank Mauriello, Minority Leader
F: Patrick Jeffers, Majority Counsel
Amis Zilgme, Minority Counsel

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FOR COUNSEL USE ONLY	
Date Received:	<u>7-13-16</u>
Received by:	<u>PM</u>
Method: Hand:	<u>✓</u>
Courier:	_____
Mail:	_____

REQUEST FOR LEGISLATIVE ACTION

RLA #1900: Authorizing an Agreement with AMAHA Electrical, Inc. Regarding Electrical Services for the Times Union Center Arena Lighting Project

DATE: Wednesday, July 06, 2016

DEPARTMENT: General Services

Contact Person: David Latina, Commissioner

Telephone: 518-447-7207

Dept. Representative Attending Committee Meeting: David Latina, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____

Amendment of Prior Legislation _____

Approval/Adoption of Plan/Procedure _____

Bond Approval _____

Budget Amendment (see below) _____

Contract Authorization (see below) X

Environmental Impact _____

Home Rule Request _____

Property Conveyance _____

Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____

Purchase (Equipment/Supplies) _____

Lease (Equipment/Supplies) _____

Requirements Professional Services X

Education/Training _____

Grant: _____

 New _____

 Renewal _____

 Submission Deadline Date _____

Settlement of a Claim _____

Release of Liability _____

Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) AMAHA Electrical, Inc.
1217 Loudon Road
Cohoes, New York 12047

Amount/Raise Schedule/Fee \$1,425,840.00

Scope of Services Electrical Construction included but not limited to the following
as noted in drawings and in the specifications, for the TUC Arena
Lighting Replacement Project. The project is RFB 2016-048

Contract Funding:

Bond Res. No.: 522 for 2015

Date of Adoption: 12/7/2015 12:00:00 AM

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

Revenue

Appropriation

Account Code	Line	Amount
HK	UB	\$1,425,840.00

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	0%
State	0%
County	100%
Local	0%

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number

Date of Adoption

Justification: (State briefly why legislative action is requested)

The Department of General Services respectfully requests authorization to accept the bid from AMAHA Electrical, Inc. in the amount not to exceed \$1,425,840.00 in regards to the Times Union Center Arena Lighting Project.

The lights in the main arena are 25 years old, and must have mechanical shades for instant on and off. New technology allows for LED lights with instant on and off, cleaner lighting for television and game presentation and energy efficiency. Also, included in this project are 2 additional energy savings measures, all replacing 25 year old equipment. Work includes a retrofit of our purge fans and heat recovery air handling units.

This project has been included in the 2015-2019 Capital Plan and a SEQR has been completed.



DANIEL P. MCCOY
COUNTY EXECUTIVE

PHILIP F. CALERONE, ESQ.
DEPUTY COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
112 STATE STREET, SUITE 1220
ALBANY, NEW YORK 12207
(518) 447-7210 FAX (518) 447-7747
WWW.ALBANYCOUNTY.COM

DAVID M. LATINA
COMMISSIONER

MARTIN V. MCCLUNE
DEPUTY COMMISSIONER

To: Karen Storm, Purchasing Agent
From: David M. Latina, Commissioner
Date: July 1, 2016
Re: Times Union Arena Lighting Project

Upon reviewing the above referenced project RFB 2016-048 with Deputy Commissioner of Facilities Engineering, Michael Martin, I am recommending the award of contract to AMAHA Electrical, Inc. for the amount not to exceed \$1,425,840.00

DML:pl

cc: George J. Penn, Director of Operations
Michael Martin, Deputy Commissioner Facilities Engineering



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF GENERAL SERVICES
PURCHASING DIVISION
112 STATE STREET, ROOM 1208
ALBANY, NEW YORK 12207-2021
(518) 447-7140 - FAX (518) 447-5588

DAVID M. LATINA
COMMISSIONER OF GENERAL SERVICES

KAREN A. STORM
PURCHASING AGENT

MEMORANDUM

TO: David Latina
General Services

FROM: Karen Storm *Karen Storm*
Purchasing Agent

DATE: July 1, 2016

RE: RFB #2016-048 TU Center Lighting Replacement Project

I am in receipt of your recommendation to award the aforementioned Request for Bids to Amaha Electrical Inc. in the amount of 1,425,840.00.

As Amaha Electrical Inc. is the low responsive and responsible bidder, I concur with your recommendation.

Please obtain the necessary contract approval of the County Legislature, so that we may issue a Notice of Award to the successful bidder.

RFB-2016-048 Bid Tabulation

Vendor	Amaha Lighting	Kasselman Electric	Coghlin Electrical	Ephesus	Stilsing Electric
Bid Security	Bond	Bond	Bond	Bond	Bond
Lump sum Base Bid	\$ 1,188,200.00	\$ 1,887,265.00	\$ 1,420,297.00	\$ 1,361,850.00	\$ 1,574,000.00
20% Contingency	\$ 237,640.00	\$ 377,453.00	\$ 284,059.00	\$ 272,370.00	\$ 314,800.00
Total Bid Amount	\$ 1,425,840.00	\$ 2,264,718.00	\$ 1,704,356.00	\$ 1,634,220.00	\$ 1,888,800.00

Fixture Design Reference Number	16-S	16-S	16-M	Arena 600	16-M
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Vendor	McBain Electric	DLC Electric
Bid Security	Bond	Bond
Lump sum Base Bid	\$ 1,589,089.00	\$ 1,760,000.00
20% Contingency	\$ 317,817.00	\$ 352,000.00
Total Bid Amount	\$ 1,906,906.00	\$ 2,112,000.00

Fixture Design Reference Number	16-S	16-S
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TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMO** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: May 19, 2016

Re: Request For Legislative Action – Lease Suite # 1
Manufacturers & Traders Trust Co. & BBL Construction Services, LLC

Suite Renewal – Term - September 1, 2016 thru August 31, 2017

This suite holder has been the tenant in Suite # 1 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

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FOR COUNSEL USE ONLY	
Date Received:	7-7-16
Received by:	JR
Method: Hand:	<input checked="" type="checkbox"/>
Courier:	<input type="checkbox"/>
Mail:	<input type="checkbox"/>

REQUEST FOR LEGISLATIVE ACTION

RLA #1914: Contract Authorization for Times Union Suite Rental Renewal #1

DATE: Thursday, July 07, 2016

DEPARTMENT: Management & Budget

Contact Person: David Reilly, Deputy Commissioner

Telephone: 518-447-7034

Dept. Representative Attending Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below)
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: _____
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)

Mr. Michael Keegan
Manufacturers and Traders Trust Co.
P.O. Box 767
Buffalo, NY 14240

Mr. Steven Obermayer
BBL Construction Services, LLC
P.O. Box 12789
Albany, NY 12212

Amount/Raise Schedule/Fee

\$145,500.00

Scope of Services

Renting Suite #11 to Manufacturers and Traders Trust Co.
/BBL Construction Services, LLC for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.:

Date of Adoption:

CONCERNING ALL REQUESTS:

Mandated Program/Service:

No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

Account Code

Line

Amount

Revenue

AA7128

02451

Appropriation

Fiscal Impact - Funding: (Dollars or Percentages)

Federal

State

County

Local

Term/Length of Funding:

36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation

No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number

Date of Adoption

Justification: (State briefly why legislative action is requested)

Renting Suite #11 to Manufacturers and Traders Trust Co.
/BBL Construction Services, LLC for \$48,500 per year for 3 years



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
BBL CONSTRUCTION SERVICES, LLC
FOR
CORPORATE SUITE # 1

The above named party or parties recognizes that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one half of the TIMES UNION CENTER Private Corporate Suite, namely Suite # 1 (hereafter the Suite). The cost to lease one half of these suites will be TWENTY FOUR THOUSAND AND TWO HUNDRED AND FIFTY DOLLARS (\$24,250.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Eight (8) passes will be provided at no charge for all Albany Devils and Siena home games. Eight (8) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to eight (8) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to four (4) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$1,500.00 (ONE THOUSAND FIVE HUNDRED DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

THIS DOCUMENT CONTAINS A VOID PANTOGRAPH AND MICROPRINT SIGNATURE LINES

BBL CONSTRUCTION SERVICES, LLC
P.O. BOX 12789
ALBANY, NY 12212

KEY BANK NATIONAL ASSOCIATION
ALBANY, NY 12207

29-55
213

CHECK NO.

Pay: *****One thousand five hundred dollars and no cents

DATE	CONTROL NO.	AMOUNT
5-06-2016	197065 *\$*****	1,500.00

TIMES UNION CENTER
51 SOUTH PEARL ST
ALBANY, NY 12207

PAY
TO THE
ORDER OF

AUTHORIZED SIGNATURE
FOR BBL CONSTRUCTION SERVICES, LLC



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
MANUFACTURES AND TRADERS TRUST COMPANY
FOR
CORPORATE SUITE # 1

The above named party or parties recognizes that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one half of the TIMES UNION CENTER Private Corporate Suite, namely Suite # 1 (hereafter the Suite). The cost to lease one half of these suites will be TWENTY FOUR THOUSAND AND TWO HUNDRED AND FIFTY DOLLARS (\$24,250.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Eight (8) passes will be provided at no charge for all Albany Devils and Siena home games. Eight (8) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to eight (8) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to four (4) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$1,500.00 (ONE THOUSAND FIVE HUNDRED DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

NI-0115 (REV 9/08)

HOLD DOCUMENT UP TO THE LIGHT TO VIEW TRUE WATERMARK

HOLD DOCUMENT UP TO THE LIGHT TO VIEW TRUE WATERMARK

OFFICIAL CHECK

M&T Bank

Manufacturers and Traders Trust Company
GENERAL ACCOUNTING
P.O. Box 787, Buffalo, NY 14240

10-4/220

NO. [REDACTED]

DATE 04/26/16

PAY SUM OF *****1,500 DOLLARS AND 00 CENTS

*****1,500.00

PAY TO THE ORDER OF TIMES UNION CENTER SMG DBA
51 SOUTH PEARL STREET
ALBANY NY 12207

TWO SIGNATURES REQUIRED FOR AMOUNTS OVER \$50,000.00

Richard G. Schunk

[REDACTED]



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Norma Chapman
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: June 24, 2016

Re: Request For Legislative Action – Lease Suite # 4
ALBANY BROADCASTING

Suite Renewal – Term – September 1, 2016 thru August 31, 2019

This suite holder has been the tenant in Suite # 4 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

304

FOR COUNSEL USE ONLY	
Date Received:	<u>7-8-16</u>
Received by:	<u>[Signature]</u>
Method: Hand:	<input checked="" type="checkbox"/>
Courier:	<input type="checkbox"/>
Mail:	<input type="checkbox"/>

REQUEST FOR LEGISLATIVE ACTION

RLA #1908: Contract Authorization for Times Union Suite Rental Renewal #4

DATE: Thursday, July 07, 2016

DEPARTMENT: Management & Budget

Contact Person: David Reilly, Deputy Commissioner

Telephone: 518-447-7034

Dept. Representative Attending Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: _____
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Mr. Jim Morrell Pamal Broadcasting Johnson Road Latham, NY 12110
Amount/Raise Schedule/Fee	\$145,500.00
Scope of Services	Renting Suite #4 to Mr. Jim Morrell/Pamal Broadcasting for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.:	_____
Date of Adoption:	_____

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	_____
Anticipated in Current Adopted Budget	Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	_____
State	_____
County	_____
Local	_____

Term/Length of Funding:	36 Months (9/1/2016 - 8/31/2019)
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<u>Impact on Pending Litigation</u>	No
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If yes, please explain:	_____
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Previous Requests for Identical or Similar Action

Resolution/Law Number	_____
Date of Adoption	_____

Justification: (State briefly why legislative action is requested)

Leasing suite #4 for 3 year period

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1908/TUC Suite 4 Backup.pdf>

Submitted by:	David Reilly
Title:	Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street · Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
PAMAL BROADCASTING
FOR
CORPORATE SUITE #4

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 4 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

VOID VOID

VOID VOID

PAMAL BROADCASTING LTD.
6 JOHNSON ROAD
LATHAM, NY 12110
(518) 786-6600

TO: BANK
America's Most Convenient Bank
50-256/213

VOID VOID

Three Thousand Dollars And 00 Cents

VOID VOID

PAY TO THE ORDER OF:
TIMES UNION CENTER
51 S. PEARL STREET
ALBANY NY 12207

DATE: 6/9/2016 AMOUNT: \$3,000.00



[Handwritten Signature]
AUTHORIZED SIGNATURE

Details on back.

PAMAL BROADCASTING LTD.

Vendor ID	Name	Check Date	Payment Number	Check Number	
7200	TIMES UNION CENTER	6/9/2016	00000103542		
Our Invoice Number	Description	Date	Amount	Amount Paid	Net Amount Paid
060716	TU Suite	5/1/2016	\$3,000.00	\$3,000.00	\$3,000.00

\$3,000.00 \$3,000.00 \$3,000.00

PAMAL BROADCASTING LTD.

Vendor ID	Name	Check Date	Payment Number	Check Number	
7200	TIMES UNION CENTER	6/9/2016	00000103542		
Our Invoice Number	Description	Date	Amount	Amount Paid	Net Amount Paid
060716	TU Suite	5/1/2016	\$3,000.00	\$3,000.00	\$3,000.00

\$3,000.00 \$3,000.00 \$3,000.00



TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMG** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: May 18, 2016

Re: Request For Legislative Action – Lease Suite # 5
ROSE & KIERNAN INSURANCE

Suite Renewal – Term - September 1, 2016 thru August 31, 2017

This suite holder has been the tenant in Suite # 5 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

305

**FOR COUNSEL USE
ONLY**

Date Received: 7-13-16
Received by: PM
Method: Hand: ✓
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1909: Contract Authorization for Times Union Suite Rental Renewal #5

DATE: Thursday, July 07, 2016
DEPARTMENT: Management & Budget
Contact Person: David Reilly, Deputy Commissioner
Telephone: 518-447-7034
Dept. Representative Attending
Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

**CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:**

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

**CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:**

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Mr. John Murray
Rose and Kiernan Insurance
99 Troy Road
East Greenbush, NY 12061

Amount/Raise Schedule/Fee \$145,500.00

Scope of Services Renting Suite #5 to Mr. John Murray/Rose and Kiernan Insurance for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.: _____
Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget No

County Budget Accounts:

	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____
State _____
County _____
Local _____

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____
Date of Adoption _____

Justification: (State briefly why legislative action is requested)

Renting Suite #5 to Mr. John Murray/Rose and Kiernan Insurance for \$48,500 per year for 3 years

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1909/TUC Suite 5 Backup.pdf>

Submitted by: David Reilly
Title: Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
ROSE AND KIERNAN INSURANCE
FOR
CORPORATE SUITE #5

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 5 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

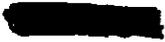
Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.



ROSE & KIERNAN, INC.
 INSURANCE, SURETY & BENEFIT SERVICES
 99 TROY RD.
 EAST GREENBUSH, NY 12061

NBT BANK, N.A.
 ALBANY, NY 12205
 60-557/213



PAY Three Thousand Dollars and 00 Cents

TO THE
 ORDER OF

DATE

AMOUNT

05/12/2016

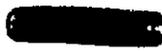
\$3,000.00

Times Union Center
 Attn: Acct. Dept.
 51 So. Pearl Street
 Albany, NY 12207
 Inv#8624

[Handwritten Signature]
 AUTHORIZED SIGNATURE

⑈078134⑈ ⑆02130557⑆ 7002585655⑈

ROSE & KIERNAN, INC.



Account	Date	Schedule	Invoice	P.O #	Description	Debit
	05/12/2016				Inv#8624	\$3,000.00

Check #
 Refer #



TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMALL** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Norma Chapman
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: June 24, 2016

Re: Request For Legislative Action – Lease Suite # 12
BANK OF AMERICA

Suite Renewal – Term – September 1, 2016 thru August 31, 2019

This suite holder has been the tenant in Suite # 12 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

306

FOR COUNSEL USE ONLY	
Date Received:	<u>7-18-16</u>
Received by:	<u>[Signature]</u>
Method: Hand:	<input checked="" type="checkbox"/>
Courier:	<input type="checkbox"/>
Mail:	<input type="checkbox"/>

REQUEST FOR LEGISLATIVE ACTION

RLA #1910: Contract Authorization for Times Union Suite Rental Renewal #12

DATE: Thursday, July 07, 2016

DEPARTMENT: Management & Budget

Contact Person: David Reilly, Deputy Commissioner

Telephone: 518-447-7034

Dept. Representative Attending Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____

Amendment of Prior Legislation _____

Approval/Adoption of Plan/Procedure _____

Bond Approval _____

Budget Amendment (see below) _____

Contract Authorization (see below) X

Environmental Impact _____

Home Rule Request _____

Property Conveyance _____

Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____

Purchase (Equipment/Supplies) _____

Lease (Equipment/Supplies) _____

Requirements Professional Services _____

Education/Training _____

Grant: _____

 New _____

 Renewal _____

 Submission Deadline Date _____

Settlement of a Claim _____

Release of Liability _____

Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Ms. Karen Polk
Bank of America
Amount/Raise Schedule/Fee \$145,500.00
Scope of Services Renting Suite #12 to Ms. Karen Polk/Bank of America for
\$48,500 per year for 3 years

Contract Funding:

Bond Res. No.:
Date of Adoption:

CONCERNING ALL REQUESTS:

Mandated Program/Service: No
If Mandated Cite: Authority
Anticipated in Current Adopted Budget No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal
State
County
Local

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number
Date of Adoption

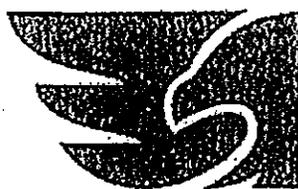
Justification: (State briefly why legislative action is requested)

Renting Suite #12 to Ms. Karen Polk/Bank of America for \$48,500 per year for 3 years

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1910/TUC Suite 12 Backup.pdf>

Submitted by: David Reilly
Title: Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
BANK OF AMERICA
FOR
CORPORATE SUITE #12

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 12 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

Merchant: SMG as Agent for Albany FS

51 South Pearl Street
Albany, NY 12207
US

Order Information

Description: letter of intent for suite deposit

Order Number:

P.O. Number:

Customer ID:

Invoice Number:

Billing Information

Bank of America

Shipping Information

karen.a.hartford@bankofamerica.com

Shipping: 0.00
Tax: 0.00
Total: USD 3,000.00

Payment Information

Date/Time: 14-Jun-2016 08:45:12 PDT
Transaction ID: 8485740293
Transaction Type: Authorization w/ Auto Capture
Transaction Status: Captured/Pending Settlement
Authorization Code: [REDACTED]
Payment Method: Visa XXXX [REDACTED]



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: July 1, 2016

Re: Request For Legislative Action – Lease Suite # 16
Girvin & Ferlazzo, United Group of Companies, JPS Strategies & FPI
Mechanical

Suite Renewal – Term – September 1, 2016 thru August 31, 2019

This suite holder has been the tenant in Suite # 16 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

307

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: PTH
Method: Hand: ✓
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1911: Contract Authorization for Times Union Suite Rental Renewal #16

DATE: Thursday, July 07, 2016
DEPARTMENT: Management & Budget
Contact Person: David Reilly, Deputy Commissioner
Telephone: 518-447-7034
Dept. Representative Attending Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: _____
 - New _____
 - Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Girvin & Ferlazzo Kristine Lanchantin, Partner 20 Corporate Woods Blvd. Albany, NY 12211
	United Group of Companies Michael Uccellini, President 300 Jordon Road Troy, NY 12180
	JPS Strategies John Sobeich, President 27 6th Street Waterford, NY 12188
	EPI Mechanical Robert Snyder, Vice President 11 Green Mtn Dr. Cohoes, NY 12047
Amount/Raise Schedule/Fee	\$145,500.00
Scope of Services	Renting Suite #16 to Girvin & Ferlazzo/United Group of Companies/JPS Strategies/FPI Mechanical for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.: _____
Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No
If Mandated Cite: Authority _____
Anticipated in Current Adopted Budget No _____

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02541	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____
State _____
County _____
Local _____

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation No
If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____
Date of Adoption _____

Justification: (State briefly why legislative action is requested)

Renting Suite #16 to Girvin & Ferlazzo/United Group of Companies/JPS Strategies/FPI Mechanical for \$48,500 per year for 3 years



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
FPI MECHANICAL
FOR
CORPORATE SUITE #16

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 16 (hereafter the Suite). The cost to lease one quarter of one of these suites will be TWELVE THOUSAND ONE HUNDRED AND TWENTY FIVE DOLLARS (\$12,125.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Four (4) passes will be provided at no charge for all Albany Devils and Siena home games. Four (4) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to four (4) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to two (2) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$750.00 (SEVEN HUNDRED AND FIFTY DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

Merchant: SMG as Agent for Albany FS

51 South Pearl Street
Albany, NY 12207
US

Order Information

Description: deposit for letter of intent

Order Number:

P.O. Number:

Customer ID:

Invoice Number:

Billing Information

ROBERT SYNDER
12083

Shipping Information

Shipping: 0.00

Tax: 0.00

Total: USD 750.00

Payment Information

Date/Time: 28-Jun-2016 14:37:08 PDT

Transaction ID: 8526291416

Transaction Type: Authorization w/ Auto Capture

Transaction Status: Captured/Pending Settlement

Authorization Code: [REDACTED]

Payment Method: Visa XXXX [REDACTED]



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
GIRVIN & FERLAZZO
FOR
CORPORATE SUITE #16

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 16 (hereafter the Suite). The cost to lease one quarter of one of these suites will be TWELVE THOUSAND ONE HUNDRED AND TWENTY FIVE DOLLARS (\$12,125.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

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Furthermore, each SUITE holder will be entitled to purchase up to four (4) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to two (2) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$750.00 (SEVEN HUNDRED AND FIFTY DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

GIRVIN & FERLAZZO, PC
ATTORNEYS AT LAW

GIRVIN & FERLAZZO, PC
GENERAL ACCOUNT
20 CORPORATE WOODS BLVD
ALBANY, NEW YORK 12211-2396
(518) 462-0300

M&T Bank

10-4/220

05/18/16

****\$750.00

*** SEVEN HUNDRED FIFTY & 00/100 DOLLARS

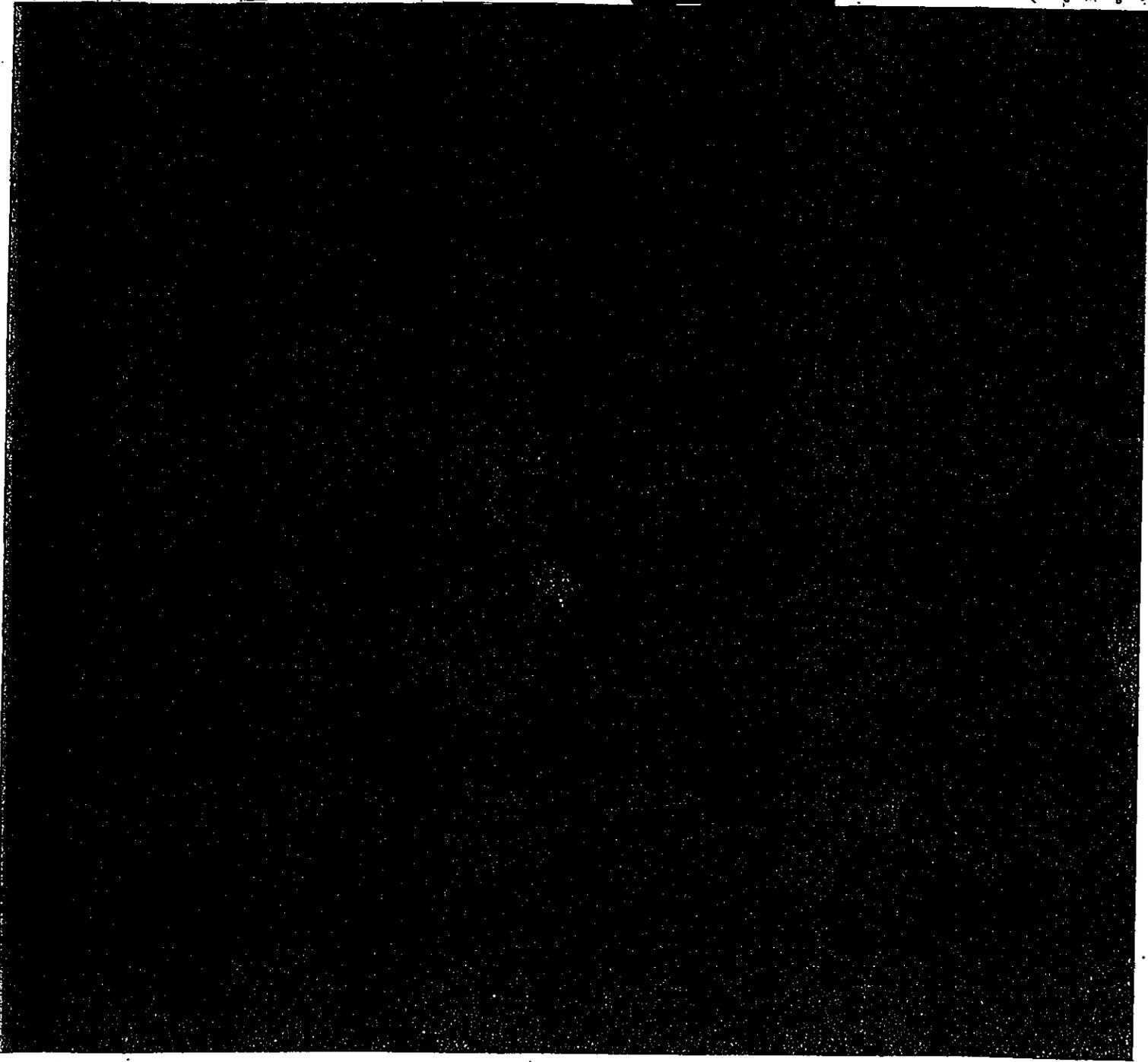
AMOUNT

PAY TO THE ORDER OF Times Union Center
Attn: Accounting Department
51 South Pearl Street
Albany, NY 12207



[Handwritten Signature]
AUTHORIZED SIGNATURE

Security features. Details on back.





TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
UNITED GROUP OF COMPANIES
FOR
CORPORATE SUITE #16

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 16 (hereafter the Suite). The cost to lease one quarter of one of these suites will be TWELVE THOUSAND ONE HUNDRED AND TWENTY FIVE DOLLARS (\$12,125.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

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To further solidify this *Letter of Intent*, a non-refundable deposit of \$750.00 (SEVEN HUNDRED AND FIFTY DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

Property, Account

4102 6370-020-000

Invoice - Date

Suite Lease Agreement 2

Description

Amount

750.00

750.00



United Realty Management Corp.

300 Jordan Road
Troy, NY 12180

M&T Bank
327 Great Oaks Boulevard
Albany, NY 12203-5971

[Redacted] 10-4/220

04/01/2016
VOID AFTER 120 DAYS

**** SEVEN HUNDRED FIFTY AND 00/100 DOLLARS

\$750.00*****
US DOLLARS

TO THE
ORDER OF

Times Union Center
51 South Pearl Street
Albany, NY 12207

SIGNATURE

[Redacted MICR line]

FD Security features. Details on back.



TIMES UNION CENTER

ALBANY, NEW YORK



AN **STAD** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
JPS STRATEGIES
FOR
CORPORATE SUITE #16

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 16 (hereafter the Suite). The cost to lease one quarter of one of these suites will be TWELVE THOUSAND ONE HUNDRED AND TWENTY FIVE DOLLARS (\$12,125.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

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Furthermore, each SUITE holder will be entitled to purchase up to four (4) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to two (2) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$750.00 (SEVEN HUNDRED AND FIFTY DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

JP SOBIECH STRATEGIES, LLC
27 6TH ST
WATERFORD, NY 12188-2019

50-7044/2223 -
071

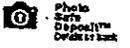
May 11, 2016 Date

Pay to the Order of *Times Union Center*

\$ *750.00*

Seven Hundred Fifty & 00/100

Dollars



**FIRST
NIAGARA**

For _____

[Signature]

Printed Name



TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMA** MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: May 19, 2016

Re: Request For Legislative Action – Lease Suite # 18
SCHENECTADY HARDWARE & ELECTRIC

Suite Renewal – Term - September 1, 2016 thru August 31, 2017

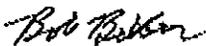
This suite holder has been the tenant in Suite # 18 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;



Bob Belber
Regional General Manager

308

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: PR
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1915: Contract Authorization for Times Union Suite Rental Renewal #18

DATE: Thursday, July 07, 2016
DEPARTMENT: Management & Budget
Contact Person: David Reilly, Deputy Commissioner
Telephone: 518-447-7034
Dept. Representative Attending
Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Mr. Chris Spraragen
Schenectady Hardware & Electric
P.O. Box 338
Schenectady, NY 12301

Amount/Raise Schedule/Fee \$145,500.00

Scope of Services Renting Suite #11 to Mr. Chris Spraragen/Schenectady Hardware & Electric for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____

State _____

County _____

Local _____

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2019)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____

Date of Adoption _____

Justification: (State briefly why legislative action is requested)

Renting Suite #11 to Mr. Chris Spraragen/Schenectady Hardware & Electric for \$48,500 per year for 3 years

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1915/TUC Suite 18 Backup.pdf>

Submitted by: David Reilly

Title: Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
SCHENECTADY HARDWARE & ELECTRIC
FOR
CORPORATE SUITE #18

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 18 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

SCHENECTADY HARDWARE & ELECTRIC CO., INC.

P O BOX 338
SCHENECTADY, NY 12301-0338
518-346-2369

[REDACTED]

DATE 5/17/16 50-7063-2213

PAY TO THE ORDER OF

Times Union Center

\$ 3,000.00 ~~1,000.00~~

\$3,000.00

DOLLARS  South Western Bank

M&T Bank

FOR

Suite #18 Box



|| [REDACTED] || [REDACTED]



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: April 22, 2016

Re: Request For Legislative Action – Lease Suite # 20
Blueshield of NENY

Suite Renewal – Term - September 1, 2016 thru August 31, 2017

This suite holder has been the tenant in Suite # 20 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

309

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: DJR
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1912: Contract Authorization for Times Union Suite Rental Renewal #20

DATE: Thursday, July 07, 2016
DEPARTMENT: Management & Budget
Contact Person: David Reilly, Deputy Commissioner
Telephone: 518-447-7034
Dept. Representative Attending
Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Ms. Julie Snyder
Blueshield of NENY
Albany, NY 12205

Amount/Raise Schedule/Fee \$48,500.00

Scope of Services Renting Suite #20 to Ms. Julie Snyder/Blueshield of NENY for \$48,500 per year for 1 year

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____

State _____

County _____

Local _____

Term/Length of Funding: 12 Months (9/1/2016 - 8/31/2017)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____

Date of Adoption _____

Justification: (State briefly why legislative action is requested)

Renting Suite #20 to Ms. Julie Snyder/Blueshield of NENY for \$48,500 per year for 1 year

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1912/TUC Suite 20 Backup.pdf>

Submitted by: David Reilly

Title: Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
BLUESHIELD OF NENY
FOR
CORPORATE SUITE #20

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 20 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a one (1) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.



Telephone Number: (716) 887-6939

Check Date: 03/23/2016

Business Unit: HNW

Check No. 00000000

Invoice Number	Invoice Date	Voucher ID	Gross Amount	Discount Available	Paid Amount
LEASE SUITE #20	03/08/2016	00292956	3,000.00	0.00	3,000.00

Down payment for the suite #20 at the Times Union Center for season 2016-2017.

Vendor Number	Name	Total Discounts
0000002475	TIMES UNION CENTER	\$0.00

Check Number	Date	Total Amount	Discounts Taken	Total Paid Amount
00000000	03/23/2016	\$3,000.00	\$0.00	\$3,000.00

DO NOT CASH UNTIL YOU HAVE VERIFIED THE AUTHENTICITY OF THIS DOCUMENT (SEE BACK).

CHECK BACKGROUND AREA CHANGES COLOR GRADUALLY FROM TOP TO BOTTOM.



HealthNow New York Inc.

PO Box 80 • Buffalo, NY 14240-0080

PAY ***THREE THOUSAND AND XX/100***

09000 Accounts Payable

Date
03/23/2016

Pay Amount
\$***3,000.00

To The Order Of: TIMES UNION CENTER
51 SOUTH PEARL STREET
ALBANY, NY 12207

PNC BANK, N.A.
Jeanette, PA

Not valid after 6 months

John Luft
Executive Vice President and CFO

THE SECURITY SEAL ABOVE MUST BE ABLE TO CHANGE FROM BLUE TO CLEAR WHEN RUBBED BRISKLY OR BREATHED ON. • HOLD AT AN ANGLE TO VIEW WATERMARK ON BACK.

TIMES UNION CENTER

ALBANY, NEW YORK



AN  MANAGED FACILITY

51 South Pearl Street Albany, New York 12207 (518) 487-2000 FAX (518) 487-2020

MEMORANDUM

To: Honorable Members – Albany County Legislature
Honorable Albany County Chairman – Sean Ward
Honorable Albany County Majority Leader – Frank Commisso
Honorable Civic Center Committee Chairman – Donald Rham
Honorable Albany County Executive – Daniel McCoy
Honorable Albany County – Deputy County Executive – Phil Calderone

From: Bob Belber
Regional General Manager

Date: April 22, 2016

Re: Request For Legislative Action – Lease Suite # 23
Capital District Physicians Health Plan

Suite Renewal – Term - September 1, 2016 thru August 31, 2019

This suite holder has been the tenant in Suite # 23 and is current with all payments owed. They would like to renew their lease and we are seeking approval from the Albany County Legislature to proceed with entering into a new lease agreement with the current annual lease amount of \$48,500 per year.

A letter of intent has been received with a deposit and pending approval, the County Attorney's office will produce a new lease document with the Resolution # assigned.

The tenant will also be responsible for sales tax on tickets to sporting events and cable TV monthly charges as well as any catered food they purchase through Aramark.

Thank you for adding this to the agenda of the next available Civic Center Committee.

Sincerely;

Bob Belber
Regional General Manager

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FOR COUNSEL USE ONLY

Date Received: _____
Received by: _____
Method: Hand: _____
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1913: Contract Authorization for Times Union Suite Rental Renewal #23

DATE: Thursday, July 07, 2016
DEPARTMENT: Management & Budget
Contact Person: David Reilly, Deputy Commissioner
Telephone: 518-447-7034
Dept. Representative Attending Committee Meeting: David Reilly, Deputy Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)

STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Mr. Brian Morrissey
Capital District Physicians Health Plan
500 Patroon Creek Blvd
Albany, NY 12206

Amount/Raise Schedule/Fee \$145,500.00

Scope of Services Renting Suite #23 to Mr. Brian Morrissey/Capital District
Physicians Health Plan for \$48,500 per year for 3 years

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget No _____

County Budget Accounts:

	Account Code	Line	Amount
Revenue	AA7128	02451	
Appropriation			

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____

State _____

County _____

Local _____

Term/Length of Funding: 36 Months (9/1/2016 - 8/31/2016)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____

Date of Adoption _____

Justification: (State briefly why legislative action is requested)

Renting Suite #23 to Mr. Brian Morrissey/Capital District Physicians Health Plan for \$48,500 per year for 3 years

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1913/TUC Suite 23 Backup.pdf>

Submitted by: David Reilly

Title: Deputy Commissioner



TIMES UNION CENTER

ALBANY, NEW YORK



AN **SMG** MANAGED FACILITY

51 South Pearl Street Albany, New York, 12207 (518) 487-2000 FAX (518) 487-2020

LETTER OF INTENT
BY
CAPITAL DISTRICT PHYSICIANS HEALTH PLAN
FOR
CORPORATE SUITE #23

The above named party or parties recognize that Corporate support is vital to the continued success of the Albany County Civic Center a.k.a. the TIMES UNION CENTER (hereafter the "TIMES UNION CENTER") and evidencing our support of this facility by this *Letter of Intent*.

This *Letter of Intent* demonstrates our intention to lease one of the TIMES UNION CENTER Private Corporate Suites, namely Suite 23 (hereafter the Suite). The cost to lease one of these suites will be FORTY EIGHT THOUSAND AND FIVE HUNDRED DOLLARS (\$48,500.00) per contract year for a three (3) year term. The parties will endeavor to negotiate mutually acceptable lease terms within ninety (90) days of signing the letter of intent.

The SUITE will be furnished and consist of sixteen (16) seats. The basic amenities typically included in a SUITE are appended and made part of the *Letter of Intent*. Sixteen (16) passes will be provided at no charge for all Albany Devils and Siena home games. Sixteen (16) passes will also be provided for every ticketed event at the TIMES UNION CENTER in each contract year with the exception of the NCAA events. Suite tickets for NCAA events can be purchased by the Suite holder.

Furthermore, each SUITE holder will be entitled to purchase up to sixteen (16) additional tickets for all ticketed events. These tickets shall be in preferred locations outside of the SUITE. Certain events may be restricted to eight (8) additional "outside" seats.

To further solidify this *Letter of Intent*, a non-refundable deposit of \$3,000.00 (THREE THOUSAND AND 00/00 DOLLARS) for the SUITE has been made upon the signing of this document. This deposit represents the first payment as indicated in the lease agreement.

Capital District Physicians' Health Plan, Inc
500 Patroon Creek Blvd.
Albany, NY 12206

Check Date: Mar 23, 2016

Check No: [REDACTED]

Page 1 of 1

Invoice Date	Voucher Number	Invoice Number	Description	Amount
Mar 15, 2016	[REDACTED]	CR031516	Corp Suite #23 Letter of Intent	3,000.00

Total: \$3,000.00

THIS CHECK IS VOID WITHOUT A BLUE & GREEN BACKGROUND AND AN ARTIFICIAL WATERMARK ON THE BACK - HOLD AT ANGLE TO VIEW

JP Morgan Chase Bank, NA

50-937

213

VOID VOID VOID
VOID VOID VOID

Check Date
Mar 23, 2016

Check No
[REDACTED]

Capital District Physicians' Health Plan, Inc
500 Patroon Creek Blvd.
Albany, NY 12206

Check Amount
\$*****3,000.00

Pay Exactly Three Thousand DOLLARS and Zero CENTS

Pay To the Order Of
TIMES UNION CENTER
ATTN: ACCOUNTING DEPARTMENT
51 SOUTH PEARL STREET
ALBANY, NY 12207

VOID VOID

Ducy P...

SIGNATURE HAS A COLORED BACKGROUND - BORDER CONTAINS MICROPRINT



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE COUNTY ATTORNEY
COUNTY OFFICE BUILDING
112 STATE STREET, ROOM 600
ALBANY, NEW YORK 12207-2021
(518) 447-7110 - FAX (518) 447-5564
WWW.ALBANYCOUNTY.COM

DANIEL C. LYNCH
COUNTY ATTORNEY
EUGENIA KOUTELIS CONDON
DEPUTY COUNTY ATTORNEY
JAMES GREEN
ASSISTANT DEPUTY COUNTY ATTORNEY

MEMORANDUM

TO: Hon. Sean Ward
Chairman, County Legislature

FROM: Eugenia Koutelis Condon *EKC*
Deputy County Attorney

DATE: July 12, 2016

RE: Request for Legislative Action
Award of Liability and Casualty Insurance
Policy Period: 8/15/16 – 8/15/17

Enclosed is a completed Request for Legislative Action relative to the award of the County's liability and related insurance coverage for the policy period August 15, 2016 to August 15, 2017. I am requesting that this matter be placed on the agenda for the August Legislative meeting.

The County's broker of record, Arthur J. Gallagher Risk Management Services sought renewal quotes for the County's insurance coverage. In addition to premium quotes from the incumbent carriers, they also approached additional markets to attempt to receive more favorable premiums. They are recommending that we proceed with the incumbent carriers as set forth below.

The specific insurance in question are the County's general liability, automobile, law enforcement, police professional, property, public official's and employee

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liability, crime, boiler and machinery, excess, umbrella and medical malpractice insurance coverage. The recommended awards are as follows:

Excess Commercial/General/Automobile/Law Enforcement Package and Public Official's and Employment Practices Coverage:

Carrier: Argonaut
Premium: \$389,356
Expiring Premium: \$389,815
Policy Limits: \$10 Million
SIR: \$1 Million

Umbrella:

Carrier: Ironshore
Premium: \$141,399
Expiring Premium: \$141,399
Policy Limits: \$10 Million
Provides coverage over Excess and Public Official's

Property:

Carrier: Traveler's
Premium: \$216,216 + \$729 Fire Fee
Expiring Premium: \$216,000 + \$729 Fire Fee
Deductible: \$250,000

Boiler and Machinery:

Carrier: Greenwich
Premium: \$19,406
Expiring Premium: \$19,551

Crime:

Carrier: AIG
Premium: \$4,501
Expiring Premium: \$4,910

Medical Liability:

Carrier: Medical Liability Mutual Insurance Company
Premium: \$278,262
Expiring Premium: \$205,082
Deductible: None – First Dollar Coverage
Type: Claims Made Retro to 4/1/86

The carrier will continue to provide first dollar coverage on a claims made basis retroactive to April 1, 1986.

Cyber Liability:

Carrier: ACE
Premium: \$49,951
Expiring Premium: \$49,951

3rd Party Pollution Liability – Sewer District (Three Year Policy):

Carrier: Navigators
Premium: \$69,105 (Three Year Policy)

Enclosed is back-up documentation, including the premium summary and marketing summary. As indicated, the broker approached numerous carriers for alternate quotes. These carriers either declined to quote or their quotes were higher than the incumbents. The County has a good loss history, demonstrated through good loss control practices, training for our departments, and efficient cost controls. We have been able to maintain effective cost controls on our liability coverage for several years and other carriers were not interested in competing in that market.

Please note, all premiums include Terrorism Coverage at the rate of 1% of premium.

The proposed awards result in combined premiums of \$1,170,825, which includes the mandatory New York State Fire Fee. All coverages were significantly enhanced in 2013, and remain the same on renewal, including all enhancements, self-insured retentions and policy limits.

Included in this proposal is Pollution Insurance Coverage for the Sewer District which was last purchased three years ago for a three year policy and provides coverage for the operations of the Sewer District.

Finally, please note, the medical malpractice insurance has seen an increase since last year. This increase is due to an increase in claims and costs triggering this coverage. Over the last two years we have seen an increase in claims triggering coverage under this policy, particularly Nursing Home cases. The reserves on these cases are high given the cost to defend medical malpractice actions as well as the fact that this carrier provides first dollar coverage. As a result, there is an increase in premium. In addition, the carrier indicates that there is a significant increase in the number of residents needing a higher level of care over last year, thus increasing risk and driving premiums. It should be noted that the carrier declared a 20% dividend this year, which was the fifth dividend in the past four years. A dividend of \$41,017 was applied to our account, thus offsetting the premium cost.

Alternate quotes were sought from other carriers, including Ironshore, our excess carrier, but they declined to quote. Notwithstanding this increase, we are recommending that we renew this coverage at the premium quoted. The increase in premium is not significant when compared to the cost to defend claims of these type. The carrier has provided loss reserves indicating the significant increase in reserves over the last two years on claims against these policies. Given that this carrier provides first dollar coverage, the premium increase is not as significant as the cost to the County were we to pay the increased reserves out of pocket.

If you have any questions, please advise me. Thank you for your attention to this matter.

EKC:e
Enclosures

Cc: Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel
Philip F. Calderone , Esq., Deputy County Executive
David Reilly, Acting Commissioner, Management and Budget

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: P-H
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1907: Contract Authorization

DATE: Thursday, July 07, 2016
DEPARTMENT: Law
Contact Person: Eugenia Condon, Deputy County Attorney
Telephone: 518-447-7114
Dept. Representative Attending
Committee Meeting: Eugenia Condon, Deputy County Attorney

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services X
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Arthur J. Gallagher Risk Management Services 677 Broadway, Albany, NY 12207
Amount/Raise Schedule/Fee	\$0.00
Scope of Services	Award of Liability and Casualty Insurance for period 8/15/16-8/15/17

Contract Funding:

Bond Res. No.:	_____
Date of Adoption:	_____

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	_____
Anticipated in Current Adopted Budget	No

County Budget Accounts:

Revenue	_____
Appropriation	_____

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	_____
State	_____
County	_____
Local	_____

Term/Length of Funding:	_____
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<u>Impact on Pending Litigation</u>	No
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If yes, please explain:	_____
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Previous Requests for Identical or Similar Action

Resolution/Law Number	_____
Date of Adoption	_____

Justification: (State briefly why legislative action is requested)

Award of Liability, Property, Medical Malpractice and similar insurances

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1907/RLA.pdf>

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1907/Insurance RLA.pdf>

Submitted by:	Eugenia Condon
Title:	Deputy County Attorney

**COUNTY OF ALBANY
2016 PREMIUM PROPOSAL**

CARRIER PREMIUM SUMMARY

Coverages	2013-2014 Program	2014-2015 Program	2015-2016 Program	2016-2017 Program
Property NYS Fire Fee	\$208,000 Travelers	\$208,000 Travelers	\$216,216 Travelers	\$216,216 Travelers
Boiler & Machinery	20,427 Greenwich	20,427 Greenwich	19,551 Greenwich	19,406 Greenwich
Casualty	376,454 (Incl. POL @ \$10MM) Argonaut	384,386 (Incl. POL @ \$10MM) Argonaut	932,075 (Incl. POL @ \$10MM) Argonaut	391,616 (Incl. POL @ \$10MM) Argonaut
Public Officials Liability	Included Above	Included Above	Included Above	Included Above
Excess Liability	139,337 Ironshore	144,886 Ironshore	141,399 Ironshore	141,399 Ironshore
Crime	7,055 Travelers	7,049 Travelers	4,910 AIG	4,501 Travelers
Healthcare Professional	124,371 MLMIC	122,337 MLMIC	205,082 MLMIC	278,262* MLMIC
Cyber Liability – Expanded Coverage	48,857 ACE	48,857 ACE	49,591 ACE	49,591 ACE
3 rd Party Pollution Liability – Sewage Plants – New Coverage (3 Year Policy)	\$76,803 AIG	Paid – Three Year Policy	Paid – Three Year Policy	\$69,105 Three Year Policy
TOTAL	\$1,001,304	\$935,942	\$1,026,564	\$1,170,825

*Increase is due to significant increase in claims (\$44,000 in 2014 vs. \$587,000 in 2015 and \$906,377 in 2016)



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY of ALBANY
CRIME VICTIM and SEXUAL VIOLENCE CENTER
112 State Street, 11th Floor
Albany, New York 12207-2077
(518) 447-7100 Fax: (518) 447-7102
24-Hour Sexual Assault Hotline: (518) 447-7716
www.albanycounty.com/cvsvc
e-mail: cvsvc@albanycounty.com

KAREN ZIEGLER
DIRECTOR

1 July 2016

Hon. Sean Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chaitman Ward:

I am requesting permission to apply for and accept a NYS Senate award through the New York State Division of Criminal Justice Services, anticipated to begin in July 2016 through March 2017. The amount of the award is \$10,000 and is to provide domestic violence services and prevention education to Albany County residents. The turn-around time for the award is very short and I am requesting to be allowed to enter into contract when notified by NYS DCJS.

I appreciate your consideration this matter.

Sincerely,

Karen Ziegler
Director

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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**FOR COUNSEL USE
ONLY**

Date Received: 7-13-16
 Received by: PM
 Method: Hand: ✓
 Courier: _____
 Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1888: Grant application, contract authorization and budget amendment

DATE: Monday, June 27, 2016
DEPARTMENT: Crime Victim and Sexual Violence
 Contact Person: Karen Ziegler, Director
 Telephone: 518-447-7103
 Dept. Representative Attending
 Committee Meeting: Karen Ziegler, Director

PURPOSE OF REQUEST:

Adopting of Local Law _____
 Amendment of Prior Legislation _____
 Approval/Adoption of Plan/Procedure _____
 Bond Approval _____
 Budget Amendment (see below) X
 Contract Authorization (see below) X
 Environmental Impact _____
 Home Rule Request _____
 Property Conveyance _____
 Other: (State briefly if not listed above) _____

**CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:**

Increase Account/Line No. Revenue;#1;#Contractual
 Source of Funds: NYS DCJS
 Title Change: _____

**CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:**

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
 Purchase (Equipment/Supplies) _____
 Lease (Equipment/Supplies) _____
 Requirements Professional Services _____
 Education/Training _____
 Grant: X
 New X
 Renewal _____
 Submission Deadline Date 7/1/2016
 Settlement of a Claim _____
 Release of Liability _____
 Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)

STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) NYS DCJS
AE Smith Building
80 South Swan Street
Albany, NY 12210

Amount/Raise Schedule/Fee \$10,000.00

Scope of Services Partial funding to provide domestic violence services and primary prevention education programs to youth and adults in the Albany County community.

Contract Funding:

Bond Res. No.: _____
Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA4610	03497	\$10,000.00

	Account Code	Line	Amount
Appropriation	AA4610	44046	\$10,000.00

Fiscal Impact - Funding: (Dollars or Percentages)

Federal NYS DCJS 0%

State 100%

County 0%

Local 0%

Term/Length of Funding: 12 Months (7/1/2016 - 6/30/2017)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number 327

Date of Adoption 9/14/2015

Justification: (State briefly why legislative action is requested)

This new funding to combat domestic violence has been made available to the Crime Victim and Sexual Violence Center through a NYS Senate Award. This will support primary prevention education, direct services, and assorted training and operational costs. There is a very short turn around time on the award and the request is to sign the required forms when directed to by the grantor.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

APPROPRIATIONS

ACCOUNT	RESOLUTION DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME	ANNUAL
AA 4610 4 4046	Fees for Services	10,000.00			Crime Victims and Sexual Violence Center	
	TOTAL APPROPRIATIONS	10,000.00		0.00		

ESTIMATED REVENUES

ACCOUNT	RESOLUTION DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
AA 4610 0 3497 000	NYS DDJS DV Grant	0.00	10,000.00		
	TOTAL ESTIMATED REVENUES	0.00	10,000.00		
	GRAND TOTALS	10,000.00	10,000.00		



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY of ALBANY
CRIME VICTIM and SEXUAL VIOLENCE CENTER
112 State Street, Room 1118
Albany, New York 12207-2077
Office: (518) 447-7100 Fax: (518) 447-7102
24-Hour Sexual Assault Hotline: (518) 447-7716
www.albanycounty.com/cvsvc
e-mail: cvsvc@albanycounty.com

KAREN ZIEGLER
DIRECTOR

6 July 2016

Hon. Sean Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Ward:

I am submitting the enclosed Request for Legislative Action for the Crime Victim and Sexual Violence Center to subcontract with the Albany Police Department for the Trauma Response Team and Project Safe Neighborhoods of the NYS DCJS GIVE II and to subcontract with Schenectady County District Attorney's Office to provide Project Safe Neighborhoods in Schenectady County, under the same grant. CVSVC will need MOUs with the Albany Police Department as well as the Schenectady County District Attorney's Office. CVSVC will need to contract with Lisa Good (Trauma Response Team) and Marie Verzulli (Project Safe Neighborhoods) to provide the direct services. Albany County will have a fiduciary role in the GIVE II grant.

Back up material is provided for your review. Please do not hesitate to contact me if you have any questions or require additional information. Thank you for your consideration.

Respectfully Submitted,

Karen Ziegler
Director

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: PK
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1879: Contract Authorizations and Memorandum of Understanding

DATE: Friday, June 10, 2016
DEPARTMENT: Crime Victim and Sexual Violence
Contact Person: Karen Ziegler, Director
Telephone: 518-447-7103
Dept. Representative Attending
Committee Meeting: Karen Ziegler, Director

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) X
Memorandums of Understanding _____

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services X
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)

Albany Police Department
 165 Henry Johnson Boulevard
 Albany, NY 12210

Schenectady County District Attorney
 612 State Street
 Schenectady, NY 12305

Amount/Raise Schedule/Fee

\$90,000.00

Scope of Services

The Albany County Crime Victim and Sexual Violence Center will act as a pass through funder for the Albany Police Department to support and partner with the Trauma Response Team (TRT) to provide critical community service in the aftermath of violence. CVSVC will partner with Lisa Good as the coordinator of the Trauma Response Team to provide community intervention and trauma response following incidents involving gun violence in the City of Albany.

CVSVC will act as a pass through funder for the Albany Police Department and the Schenectady County District Attorney's Office to support and partner with Marie Verzulli to provide Project Safe Neighborhood in Albany and Schenectady Counties. This would be working with individuals returning to the community after incarceration to facilitate a successful re-entry to the community. This is based on the very successful national program: Policing Gun Crime Without Guns by Tracey L. Meares of Yale Law School.

Contract Funding:

Bond Res. No.:

Date of Adoption:

CONCERNING ALL REQUESTS:

Mandated Program/Service:

No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

Revenue

Account Code	Line	Amount
AA4610	03334	\$90,000.00

Appropriation

Account Code	Line	Amount
AA4610	44046	\$90,000.00

Fiscal Impact - Funding: (Dollars or Percentages)

	Federal
Federal	100%
State	0%
County	0%
Local	0%

Term/Length of Funding:

12 Months (7/1/2016 - 6/30/2017)

Impact on Pending Litigation

No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number	294
Date of Adoption	8/10/2015

Justification: (State briefly why legislative action is requested)

CVSVC is subcontractor to APD and Schenectady County for the NYS DCJS GIVE II grant. I am requesting that CVSVC be given approval to contract with the Albany Police Department to receive funding for the GIVE II grant to provide for the Trauma Response Team and the Project Safe Neighborhoods. Additionally, the Schenectady County DA's Office would like to have CVSVC manage the Project Safe Neighborhoods in their County. The total award amount to be accepted is \$90,000.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/ACCVSVC Sub-Agreement 2015.doc](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/ACCVSVC%20Sub-Agreement%202015.doc)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/PROFESSIONAL SERVICES AGREEMENT Schenectady-CVSVC.docx](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/PROFESSIONAL%20SERVICES%20AGREEMENT%20Schenectady-CVSVC.docx)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/2015-294.pdf>

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/RLA letter on letterhead.doc](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/RLA%20letter%20on%20letterhead.doc)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/MOU with Lisa Good.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/MOU%20with%20Lisa%20Good.pdf)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/RLA letter on letterhead.doc](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1879/RLA%20letter%20on%20letterhead.doc)

Submitted by:	<u>Karen Ziegler</u>
Title:	<u>Director</u>



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
WWW.ALBANYCOUNTY.COM

DARRELL R. DUNCAN
COMMISSIONER

July 5, 2016

Hon. Sean E. Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Ward:

We request the Legislature's approval of a Supplemental Agreement with Barton & Loguidice, D.P.C. regarding additional design services for the CR9 (Bradt Hollow Road) over Fox Creek Project. The Legislature authorized the original agreement with Barton & Loguidice in the amount of \$218,492.00 for design services in Resolution No. 317 dated 9/8/14 (attached).

The additional funding is needed to add the additional design work associated with the realignment of Dutch Settlement Road intersection with CR9 (Bradt Hollow Road). We have enclosed documentation provided by Barton & Loguidice detailing the additional scope of work.

This Supplemental Agreement adds \$19,054.00 to the original contract price of \$218,412.00 for a total of \$237,466 for final design. Since this is a Federal-Aid Project the County is responsible for paying 100% of the costs up front and then is reimbursed for 80% of this project costs.

We have attached to the request form, a copy of the supporting documentation from Barton & Loguidice. If there are any questions or further information is needed, please feel free to contact my office.

Sincerely,

Darrell R. Duncan
Commissioner

314

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: [Signature]
Method: Hand: ✓
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1899: Contract Authorization for a Supplement Agreement

DATE: Wednesday, July 06, 2016
DEPARTMENT: Public Works
Contact Person: Christine Tashjian, Clerk II
Telephone: 518-655-7905
Dept. Representative Attending Committee Meeting: Darrell Duncan, Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation X
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment X
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: _____
 - New _____
 - Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Barton and Loguidice, D.P.C.
10 Airline Drive
Suite 200
Albany, NY 12205

Amount/Raise Schedule/Fee \$19,054.00

Scope of Services Additional design services for the CR9 Bradt Hollow Rd. over Fox Creek Project

Contract Funding:

Bond Res. No.: 221

Date of Adoption: 6/21/2012 12:00:00 AM

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

Revenue

Appropriation

Account Code	Line	Amount
HH	R9	\$19,054.00

Fiscal Impact - Funding: (Dollars or Percentages)

Federal 80%

State 0%

County 20%

Local 0%

Term/Length of Funding: 6 Months (8/1/2016 - 2/1/2017)

Impact on Pending Litigation No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number

Date of Adoption

Justification: (State briefly why legislative action is requested)

We request the Legislature's approval of a Supplemental Agreement with Barton and Loguidice, D.P.C. for additional design services for the CR9 Bradt Hollow Road over Fox Creek Project. This Supplemental Agreement adds \$19,054.00 to the original contract price of \$218,412.00 approved by the Legislature's on 9/8/2014 for a total of \$237,466.00 for final design.

Attachment A
Architectural/ Engineering Consultant Agreement
Project Description and Funding

PIN: 1758.92
BIN: 3300880

Term of Agreement Ends: 12/31/17

Main Agreement Amendment to Agreement [add identifying #]

Supplement to Agreement 1

Phase of Project Consultant to work on:

P.E./Design ROW Incidentals ROW Acquisition Construction, C/I, & C/S

PROJECT DESCRIPTION:

Preliminary and Final Design and Construction Inspection and Support for the CR 9 (Brandt Hollow Road) over Fox Creek Project (BIN 3300880)

Project Location:

***Town of Berne
Albany County, NY***

Consultant Work Type(s): See Attachment B for more detailed Task List.

MAXIMUM AMOUNT OF FUNDS FOR ALL COMPENSATION PAYABLE UNDER THIS AGREEMENT FOR THE SCOPE OF WORK DESCRIBED IN ATTACHMENT B FOR THE PROJECT DESCRIBED IN THIS ATTACHMENT A, OTHERWISE IN ACCORDANCE WITH THE CHOSEN METHOD OF COMPENSATION AND OTHER TERMS OF THIS AGREEMENT:

Original MAP:	\$ 218,412
Supplemental Agreement #1:	\$ <u>19,054</u>
Revised Maximum Amount Payable:	\$ 237,466

Footnotes:



Engineers • Environmental Scientists • Planners • Landscape Architects

10 Airline Drive • Suite 200 • Albany, NY 12205
 PHONE 518-218-1801 • FAX 518-218-1805

TO: Albany County Department of Public Works
 449 New Salem Road
 Voorheesville, New York 12186

LETTER OF TRANSMITTAL

DATE: 6/15/16	JOB# 923.007.121	Phase# 01
ATTENTION: William Anslow		
RE: CR 9 (Brandt Hollow Road) over Fox Creek		
Supplemental Agreement 1		

WE ARE SENDING YOU Attached Under separate cover via _____ the following items:

Shop Drawings Prints Plans Samples Specifications
 Copy of Letter Change order Supplemental Agreement 1

COPIES	DATE	NO.	DESCRIPTION
2	6/15/2016		Supplemental Agreement 1

THESE ARE TRANSMITTED as checked below:

For approval Approved as submitted Resubmit _____ copies for approval
 For your use Approved as noted Submit _____ copies for distribution
 As requested Returned for corrections Return _____ corrected prints
 For review and comment Suppl 1 for review and approval
 FOR BIDS DUE _____ 20____ PRINTS RETURNED AFTER LOAN TO US

REMARKS Bill - As discussed and agreed to, we've put together a supplemental agreement herein that reflects the additional work associated with the inclusion of realignment of Dutch Settlement Road and the extension of the work limits on CR 9. The copies sent with this mailing are signed supplements merely for simplicity should this be acceptable to the County. If there are any questions or comments please let us know and we'll work thru them with you toward a mutual agreement.

CC: _____ SIGNED: 
 Robert J. Sipzner, P.E.
 Vice President

If enclosures are not as noted, kindly notify us at once.

**Architectural/ Engineering
Consultant Agreement**

Supplemental Agreement No. 1

PIN (s) 1758.92 Municipal Contract No. _____

Agreement made this ____ day of _____, _____ by and between

County of Albany
(the "Municipality")

having its principal office at 449 New Salem Road, in Voorheesville, New York 12186 (the "Municipality")

and

BARTON & LOGUIDICE, D.P.C.

with its office at 10 Airline Drive, Suite 200, Albany, New York 12205
(the "Consultant")

WITNESSETH:

WHEREAS, in connection with a federal-aid project funded through the New York State Department of Transportation ("NYSDOT") identified for the purposes of this agreement as *Preliminary and Final Design and Construction Inspection and Support for the CR 9 (Brandt Hollow Road) over Fox Creek Project* (as described in detail in Attachment A annexed hereto, the "Project") the Municipality has sought to engage the services of a Consultant Engineer) to perform the scope of services described in Attachment "B" of the original agreement as annexed hereto; and

WHEREAS, in accordance with required consultant selection procedures, including applicable requirements of NYSDOT and/or the Federal Highway Administration ("FHWA") the Municipality has selected the Consultant to perform such services in accordance with the requirements of this Agreement; and

WHEREAS, the _____, is authorized to enter this Agreement on behalf of the Municipality,

NOW, THEREFORE, the parties hereto agree as follows:

ARTICLE 1. DOCUMENTS FORMING THIS AGREEMENT

This agreement consists of the following:

- | | |
|---|---|
| ■ Agreement Form - this document titled "Architectural/Engineering Consultant Agreement"; | ■ Attachment "B" – Supplemental Task List; |
| ■ Attachment "A" - Project Description and Funding. | ■ Attachment "C" - as applicable, Staffing Rates, Hours, Reimbursables and Fee. |

ARTICLE 2. SCOPE OF SERVICES/STANDARD PRACTICES AND REQUIREMENTS .

2.1 The CONSULTANT shall render all services and furnish all materials and equipment necessary to provide the Municipality with plans, estimates and other services and deliverables more specifically described in Attachment "B" of the original agreement as supplemented by Attachment "B" herein.

2.2 The CONSULTANT shall ascertain the applicable practices of the Municipality, NYSDOT and/or FHWA prior to beginning any of the work of this PROJECT. All work required under this Agreement shall be performed in accordance with these practices, sound engineering standards, practices and criteria, and any special requirements, more particularly described in Attachment "B".

2.3 Except as herein modified, the Original Agreement dated December 9, 2014, including any amendments or revisions thereto not modified herein, remains in full force and effect.

2.4 The CONSULTANT will commence work no later than ten (10) days after receiving notice to proceed from the Municipality.

ARTICLE 3. COMPENSATION METHODS, RATES AND PAYMENT

3.1 As full compensation for Consultant's work, services and expenses hereunder the Municipality shall pay to the CONSULTANT, and the CONSULTANT agrees to accept compensation based the methods designated and described below. Payment of the compensation shall be in accordance with the Interim Payment procedures shown in the table and the final payment procedure in Article 6.

3.2 For services rendered pursuant to this combined Supplement, the CONSULTANT shall be paid on a Cost Plus Fixed Fee Method, as described in Section 3.1 of the original agreement, with an amount not to exceed \$19,054.

3.3 The revised maximum amount payable shall be increased to \$237,466 as shown on Attachment A. Direct Technical Salaries for 2016 shall not exceed the maximums shown in Exhibit A of Attachment C unless approved in writing by the Municipality. The overhead allowance shall be in accordance with the terms of the original agreement, or in accordance with the Consultant's current approved overhead billing rates and at 175% for Office, in all events not to exceed a revised maximum of 195% and is subject to audit. The fixed fee for these supplemental services will be \$2,000.



DANIEL P. McCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF PUBLIC WORKS
449 NEW SALEM ROAD
VOORHEESVILLE, NEW YORK 12186-4826
(518) 765-2055 - FAX (518) 447-7047
WWW.ALBANYCOUNTY.COM

DARRELL R. DUNCAN
COMMISSIONER

July 5, 2016

Hon. Sean E. Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Ward:

We request the Legislature's approval of Supplemental Agreement #1 between Albany County and the NYS Department of Transportation (NYS DOT) regarding additional inspection and construction services on CR55 Creble Road over the Vloman Kill Bridge Rehabilitation Project in the Town of Bethlehem. This Agreement will allow Albany County to receive the Federal reimbursement for the construction services/inspection phase of this project.

Albany County is administering this Federal-Aid Project. Because of this, Albany County will pay 100% of the project costs for construction services/inspection and will receive 80% Federal reimbursement. The funding for the additional shares of this project was authorized by the Legislature in Resolution No. 192 dated 5/9/16 (attached).

We have attached copies of the NYS DOT Agreement, the cover letter from the NYS DOT, the request form and sample resolution. If any further information is required or if you have any questions regarding this request, please feel free to contact me at 655-7902.

Sincerely,

Darrell R. Duncan
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: PK
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1898: Contract Authorization for a Supplemental Agreement with NYS Dept. of Transportation

DATE: Wednesday, July 06, 2016
DEPARTMENT: Public Works
Contact Person: Christine Tashjian, Clerk II
Telephone: 518-655-7905
Dept. Representative Attending
Committee Meeting: Darrell Duncan, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services X
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) NYS Dept. of Transportation
50 Wolf Road
Albany, NY 12232

Amount/Raise Schedule/Fee \$0.00

Scope of Services Supplemental Agreement with NYS DOT

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

Revenue

	Account Code	Line	Amount
Appropriation	HH	R9	\$94,805.88

Fiscal Impact - Funding: (Dollars or Percentages)

Federal 80%

State 0%

County 20%

Local 0%

Term/Length of Funding: 4 Months (7/1/2016 - 11/30/2016)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number 192

Date of Adoption _____

Justification: (State briefly why legislative action is requested)

We request the Legislature's approval of Supplement Agreement No. 1 between Albany County and the NYS Dept. of Transportation regarding additional inspection and construction services on CR55 Creble Road over the Vloman Kill Bridge Rehabilitation Project in the Town of Bethlehem. This Agreement will allow Albany County to receive the Federal reimbursement for the construction services and inspection phase of this project. The funding for the additional shares of this project was authorized by the Legislature in Resolution No. 192 dated 5/9/16.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
OFFICE OF THE EXECUTIVE
112 STATE STREET, ROOM 900
ALBANY, NEW YORK 12207-2021
(518) 447-7040 - FAX (518) 447-5589
WWW.ALBANYCOUNTY.COM

PHILIP F. CALDERONE, ESQ.
DEPUTY COUNTY EXECUTIVE

July 13, 2016

Hon. Sean Ward
Chair, Albany County Legislature
112 State Street, 7th Floor
Albany, NY 12207

RE: Request for Legislative Action

Dear Chairman Ward:

The Office of the County Executive respectfully requests Legislative authorization to submit a NYS Consolidated Funding Application (CFA) for the purposes of securing funds to make improvements to the Hudson-Mohawk Bike/Hike Trail.

Very recently our office has been in discussions with the NYS Office of Parks, Recreation and Historic Preservation (OPRHP) regarding maintenance and oversight responsibilities as it relates to this trail which spans from North Albany through the City of Cohoes. Through this process it was highly recommended by OPRHP that the County make attempts at securing state funding to assist with maintenance and further development of this trail.

While no specific project(s) has been identified as yet, there are options which include additional signage, upgraded amenities, resurfacing, and the possibility of conducting a feasibility study to explore the potential to decrease the amount of "on-road" portions of the trail between the Cities of Watervliet and Cohoes.

As always, please do not hesitate to contact my office with any questions and a staff member will present at the July committee meetings to address any inquiries.

Thank you in advance for your consideration.

Respectfully,

Daniel P. McCoy
Albany County Executive

3/16

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)

Capital Region Economic Development Council
433 River Street, Suite 1003
Troy, NY 12180

Amount/Raise Schedule/Fee

Scope of Services

The Office of the County Executive respectfully requests Legislative authorization to submit a NYS Consolidated Funding Application (CFA) for the purposes of securing funds to make improvements to the Hudson-Mohawk Bike/Hike Trail.

Very recently our office has been in discussions with the NYS Office of Parks, Recreation and Historic Preservation (OPRHP) regarding maintenance and oversight responsibilities as it relates to this trail which spans from North Albany through the City of Cohoes. Through this process it was highly recommended by OPRHP that the County make attempts at securing state funding to assist with maintenance and further development of this trail.

While no specific project(s) has been identified as yet, there are options which include additional signage, upgraded amenities, resurfacing, and the possibility of conducting a feasibility study to explore the potential to decrease the amount of "on-road" portions of the trail between the Cities of Watervliet and Cohoes.

Contract Funding:

Bond Res. No.:

Date of Adoption:

CONCERNING ALL REQUESTS:

Mandated Program/Service:

No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget No

County Budget Accounts:

Revenue

Appropriation

Fiscal Impact - Funding: (Dollars or Percentages)

Federal

State

County

Local

Term/Length of Funding:

Impact on Pending Litigation

No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number

Date of Adoption

Justification: (State briefly why legislative action is requested)

The Office of the County Executive respectfully requests Legislative authorization to submit a NYS

Consolidated Funding Application (CFA) for the purposes of securing funds to make improvements to the Hudson-Mohawk Bike/Hike Trail.

Very recently our office has been in discussions with the NYS Office of Parks, Recreation and Historic Preservation (OPRHP) regarding maintenance and oversight responsibilities as it relates to this trail which spans from North Albany through the City of Cohoes. Through this process it was highly recommended by OPRHP that the County make attempts at securing state funding to assist with maintenance and further development of this trail.

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Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1919/RLA Cover Letter \(to apply\).docx](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1919/RLA%20Cover%20Letter%20(to%20apply).docx)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1919/Additional CFA Program Info \(abbreviated\).pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1919/Additional%20CFA%20Program%20Info%20(abbreviated).pdf)

Submitted by:

Michael McLaughlin

Title:

Director of Policy and Research

Introduction

In 2011, Governor Andrew M. Cuomo created ten Regional Economic Development Councils (REDC) and the Consolidated Funding Application (CFA) to advance the administration's efforts to improve New York's business climate and expand economic growth.

The REDCs developed strategic plans with input from a broad spectrum of stakeholders taking into account the unique strengths and weaknesses of each region. The strategic plans serve as a 5-year road map, guiding each region's efforts to stimulate economic growth. Each region's strategic plan, implementation agenda and progress reports can be found online at www.regionalcouncils.ny.gov

For Round VI, Regional Councils will once again focus on the implementation of each region's strategic plan, and continue to identify and invest in significant economic development projects. The Governor has directed over \$750 million in State resources to be made available in 2016 to support the economic development priorities of the regions and spur job creation across the state, including \$245 million in competitive funds from Empire State Development.

In 2016, REDCs will advance projects and other actions identified for implementation and identify new strategies, as appropriate, to pursue. The Regional Councils are having a positive impact on economic development, helping to bring unemployment levels down through the creation and retention of thousands of jobs.

During Round VI, in addition to the strategies established in their regional strategic economic development plans, each REDC is asked to focus on the following priorities (additional details available in 2016 REDC Guidebook):

- Support the Downtown Revitalization Initiative Plan and identify projects.
- Implementing additional strategies in their strategic plan.
- Maintaining a pipeline of projects.
- Training the workforce for today and tomorrow.
- Measuring the performance and progress of the strategic plan and CFA projects.

In addition to the \$245 million available for the competitive aspect of the Regional Council process, over \$510 million will be available to applicants through the CFA from various State agencies to support economic development projects that align with REDC strategic plans. The agency resources will support projects in the areas of community development, direct assistance to businesses and other organizations, waterfront revitalization, energy, environmental improvements, sustainability, education and workforce development, and low-cost financing.

This year, there are over 30 programs available through 11 state agencies, including Empire State Development; NYS Canal Corporation; NYS Energy Research and Development Authority; Environmental Facilities Corporation; Homes and Community Renewal; Department of Labor; New York Power Authority; Office of Parks, Recreation and Historic Preservation; Department of State; Department of Environmental Conservation, and Council on the Arts. In an effort to provide the most appropriate state resources through this process, participating agencies will be able to review and potentially provide funding to a project that did not specifically apply to that program, if the materials submitted at time of application satisfy the requirements for that program and allow for fair review under the circumstances. In addition, all programs contained in this document will use regional distribution standards to ensure projects are funded throughout the state.

Please be advised that all CFA grants are subject to the New York State Executive Law Article 15-A which requires, where applicable, the establishment of 30% minority- and women-owned business enterprises ("M/WBE") contract goals.

This document outlines information about each agency's grant programs, including eligibility, scoring criteria, applicant requirements, and agency contact information.

2016 Available Resources

Direct Assistance to Business and Other Organizations: Up to \$262 million

- **Empire State Development**
 - Up to \$175 million for ESD Grant Funds
 - Up to \$70 million for Excelsior Jobs Program
 - Up to \$2.5 million Business Incubator and Innovation Hot Spot Support Program
 - Up to \$1 million for ESD Grants for Strategic Planning and Feasibility Studies
 - Up to \$13.5 million for Market New York

Community Development: Up to \$55 million

- **New York State Council on the Arts**
 - Up to \$5 million for Arts, Culture and Heritage Projects
- **Homes and Community Renewal**
 - Up to \$25 million for New York State Community Development Block Grant Program
 - Up to \$5 million for New York Main Street Program
- **Office of Parks, Recreation and Historic Preservation**
 - Up to \$20 million for Environmental Protection Fund Grants

Waterfront Revitalization: Up to \$20.5 million

- **Department of State**
 - Up to \$19.5 million for the Local Waterfront Revitalization Program
- **New York State Canal Corporation**
 - Up to \$1 million for the Canalway Grants Program

Energy: Up to \$60 million

- **New York State Energy Research and Development Authority**
 - Up to \$60 million for Energy Efficiency Programs
- **New York State Power Authority**
 - Up to 150MW for the ReCharge NY Program

Environmental Improvements: Up to \$40 million

- **Department of Environmental Conservation**
 - Up to \$2 million for NYS DEC/EFC Wastewater Infrastructure Engineering Planning Grant
 - Up to \$26 million for the Water Quality Improvement Projects (WQIP) Program
- **Environmental Facilities Corporation**
 - Up to \$10 million for the Green Innovation Grant Program

Sustainability Planning and Implementation: Up to \$15 million

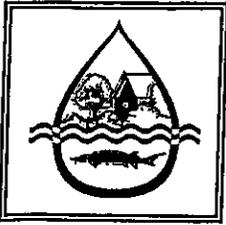
- **Department of Environmental Conservation**
 - Up to \$11 million for the Climate Smart Communities Program
- **Department of State**
 - Up to \$4 million for Local Government Efficiency Grants

Education/Workforce Development: Up to \$5 million

- **Department of Labor**
 - Up to \$5 million for Workforce Development

Low Cost Financing: Up to \$300 million

- **Empire State Development**
 - Up to \$300 million for Industrial Development Bond (IDB) Cap



Stormwater Coalition of Albany County

July 11, 2016

Members

Albany County

City of Albany

Town of
Bethlehem

City of Cohoes

Town of
Colonie

Village of
Colonie

Village of
Green Island

Town of
Guilderland

Village of
Menands

Town of New
Scotland

City of
Watervliet

University at
Albany-SUNY

Support

Capital District
Regional Planning
Commission

Hon. Sean Ward, Chairman
Albany County Legislature
112 State Street, Rm. 710
Albany, NY 12207

Dear Chairman Ward:

Albany County, on behalf of the Stormwater Coalition of Albany County recently received a Regional Economic Development Council (REDC) NYSDEC Water Quality Improvement Project grant award of \$648,960, of which \$486,720 is Grant Funds (75%) and \$162,240 is Match Funds (25%).

As required by the funder and the Coalition inter-municipal agreement, the Coalition Board of Directors voted 1) to approve the grant work plan stating their collective and individual willingness to deliver each output in the work plan and 2) to approve this grant contract (NYSDEC Contract #C00081GG) stating as well their understanding that Albany County, as lead applicant will execute the contract, pending the approval of the Albany County legislature. Coalition staff, funded exclusively with member dues, will provide the necessary Match Funds.

On July 13, 2015, the Albany County Legislature authorized the submission of this grant application (Resolution No. 252) and to date all contract related documents have been submitted to NYSDEC for review and approval. This request for legislative action is to seek authorization for Albany County, on behalf of the Stormwater Coalition, to accept the grant award, execute this grant with NYSDEC, and to create the necessary budget lines to manage revenues and expenditures.

The purpose of this "Albany County MS4 Mapping Project", as titled by NYSDEC, is to map storm system infrastructure owned by 12 Coalition members; delineate storm sewershed boundaries; develop GIS-linked data collection forms; and to create additional map layers vital to effective stormwater management.

Should you have any questions, please contact me. I can be reached by phone, 447-5645 or email, nheinzen@albanycountyny.gov.

Sincerely,

Nancy Heinzen
Coalition Director/Program Coordinator

Cc: Frank Commisso, Majority Leader; Frank Mauriello, Minority Leader; Patrick Jeffers, Majority Counsel; Arnis Zilgme, Minority Counsel

FOR COUNSEL USE ONLY	
Date Received:	<u>7-13-16</u>
Received by:	<u>PM</u>
Method: Hand:	<u>✓</u>
Courier:	_____
Mail:	_____

REQUEST FOR LEGISLATIVE ACTION

RLA #1893: Contract Authorization and Budget Amendment

DATE: Friday, July 01, 2016

DEPARTMENT: Stormwater Coalition

Contact Person: Nancy Heinzen, Director

Telephone: 518-447-5645

Dept. Representative Attending Committee Meeting: Nancy Heinzen, Director

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) X
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS STATE THE FOLLOWING:

Increase Account/Line No. Contractual; Equipment; Revenue

Source of Funds: REDC NYSDEC WQIP Rnd 12 Grant

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: X
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) X
- Grant Acceptance _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) New York State Department of Environmental Conservation
(NYSDEC)
625 Broadway, 14th Floor
Albany, New York 12233-1010

Amount/Raise Schedule/Fee \$486,720.00

Scope of Services The purpose of this grant funded "Albany County MS4 Mapping Project", as titled by NYSDEC, is to map storm system infrastructure owned by 12 Coalition members; delineate the Patroon Creek, Krumkill, and Ann Lee Pond storm sewersheds; develop data collection forms linked to GIS technology; and to create additional GIS data layers vital to effective stormwater management.

Two additional Coalition staff will be hired; some projects will be contracted out to consultants; necessary mapping equipment will be purchased; and as a member of the Stormwater Coalition, the University at Albany Office of Sustainability will help guide multiple mapping projects to be led by students, with professors, and funded with grant money. These projects include: 1) digitization of County well/septic system data; 2) identification and characterization of protected green infrastructure assets; 3) digitization of soil data available from site plans; and 4) digitization of historic Erie Canal maps for use by municipal engineers.

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: Yes

If Mandated Cite: Authority Clean Water Act - NYSDEC SPDES MS4 General Permit
(Stormwater Management)

Anticipated in Current Adopted Budget No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA8021	03420	\$223,220.00
	Account Code	Line	Amount
Appropriation	AA8021	22999	\$34,562.00
Appropriation	AA8021	44046	\$171,120.00
Appropriation	AA8021	44038	\$3,730.00
Appropriation	AA8021	44020	\$23,808.00

Fiscal Impact - Funding: (Dollars or Percentages)

	NYSDEC
Federal	0%
State	75%
County	0%
Local	25%

Term/Length of Funding: _____

Impact on Pending Litigation

No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number

Resolution No. 252 (Adopted 7/13/2015) Authorizing Submission of Grant Application

Date of Adoption

Justification: (State briefly why legislative action is requested)

Albany County, on behalf of the Stormwater Coalition of Albany County recently received a Regional Economic Development Council (REDC) NYSDEC Water Quality Improvement Project award of \$648,960, of which \$486,720 is Grant Funds (75%) and \$162,240 is Match Funds (25%).

As required by the funder and the Coalition inter-municipal agreement, the Coalition Board of Directors voted on March 18, 2016 to 1) approve the grant work plan stating their collective and individual willingness to deliver each output in the work plan and 2) approve this grant contract (NYSDEC Contract #C00081GG) stating as well their understanding that Albany County, as lead applicant will execute the contract, pending the approval of the Albany County legislature. Coalition staff, funded exclusively with member dues will provide the necessary Match Funds.

On July 13, 2015, the Albany County Legislature authorized the submission of this grant application (Resolution No. 252) and to date all contract related documents have been submitted to NYSDEC for review and approval. [NOTE: As of 7/1/2016, all but one document has been submitted for review (County Executive Lead Applicant Certification Form). Form to be submitted shortly, then review process can start.]

This request for legislative action is to seek authorization for Albany County, on behalf of the Stormwater Coalition, to accept the grant award, execute this grant with NYSDEC, and to create the necessary budget lines to manage grant revenues and expenditures. See the budget appropriations spreadsheet for details.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/2015_7-17_CFAWQIP_Res252_Adopted_JuneRLAAuthToSubmitGrant_15-252.pdf

http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/RLA_CvrLtrToLeg_SWCoalNYSDEC-MappingGrant_ForCEO6July2016_FINAL_ForSubmission.pdf

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/NYSDEC_Awards Letter_\\$486720_ToMcCoy_Dated_2015_12-10.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/NYSDEC_Awards Letter_$486720_ToMcCoy_Dated_2015_12-10.pdf)

http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/RLA_BudgSpdsht_SWCoalNYSDECGrant_11 July2016_ForCEO_8021_rev2.xlsx

http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1893/RLA_BudgSpdsht_SWCoalNYSDECGrant_6 July2016_ForCEO_8021.xlsx

Submitted by:

Nancy Heinzen

Title:

Director

OFFICE OF THE COMMISSIONER

New York State Department of Environmental Conservation
625 Broadway, 14th Floor, Albany, New York 12233-1010
P: (518) 402-8545 | F: (518) 402-8541
www.dec.ny.gov

Honorable Daniel P. McCoy
Albany County Executive
Harold L. Joyce Albany County Office Building
112 State Street, Room 900
Albany, NY 12207

DEC 10 2015

Re: Water Quality Improvement Project # 51817
Albany County MS4 Mapping Project

Dear Mr. McCoy:

In 2011, Governor Cuomo launched the Regional Economic Development Councils and the Consolidated Funding Application (CFA) to provide each region with the tools to create and implement their own roadmap for economic prosperity and job creation. This community-based model uses local assets to drive local economic growth and has resulted in unprecedented partnerships and collaboration that are building a reinvigorated economy.

After four successful rounds, the 2015 CFA made \$750 million in economic development resources available from more than thirty programs across thirteen state agencies. The agency programs provide resources for projects focused on community development and job creation, direct assistance to business, waterfront revitalization, energy and environmental improvements, sustainability and low-cost financing. The Department of Environmental Conservation (DEC) is proud to have contributed up to \$35,000,000 to this year's CFA.

We are pleased to inform you that the Albany County MS4 Mapping Project has been selected to receive up to \$486,720 through the Water Quality Improvement Project (WQIP) program. On behalf of our partners at the federal, State, and local levels of government, please accept our gratitude for taking the initiative to participate in this program. If you applied for funding from other DEC programs or other State agencies, you will receive information from those programs/agencies separately.

Contracts for WQIP projects will be completed through the NYS Grants Gateway and are expected to be executed within 60-80 days from the date of this letter. There are several steps that must be completed by your organization to meet this timeframe. We recommend visiting the Grants Reform webpage at: <http://www.grantsreform.ny.gov/Grantees> to become familiar with the grants gateway and the contracting process. The document titled "Quick Start Guide: Contracts" can help those new to working in the Grants Gateway.

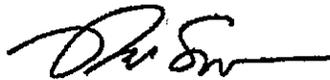


Department of
Environmental
Conservation

We will be reaching out to you shortly to provide you with additional information that will assist you in getting a contract in place. In the meantime, we suggest downloading the project workplan and budget worksheet templates from the DEC website at: <http://www.dec.ny.gov/pubs/101565.html> and begin drafting the project workplan and budget to later enter into the Grants Gateway. The terms for WQIP contracts must have a start date no earlier than May 1, 2015. Contract end dates must be no later than May 31, 2020.

We look forward to working with you on this important water quality project. If you should have any questions, please contact the WQIP program staff at user.water@dec.ny.gov or call (518)-402-8179.

Sincerely,

A handwritten signature in black ink, appearing to read "Basil Seggos", with a horizontal line extending to the right.

Basil Seggos
Acting Commissioner

ACCOUNT NO.		AGENCY	RESOLUTION DESCRIPTION	INCREASE	DECREASE	UNIT COST	DEPARTMENT NAME
A 8021	2	GmSW	Miscellaneous Equipment	34,562.00			Stormwater Coalition
A 8021	4	GmSW	Fees for Services	171,120.00			Stormwater Coalition
A 8021	4	GmSW	Travel, Mileage, Freight	3,730.00			Stormwater Coalition
A 8021	4	GmSW	Office Supplies	23,808.00			Stormwater Coalition
TOTAL APPROPRIATIONS				233,220.00	0.00		

ACCOUNT NO.		AGENCY	RESOLUTION DESCRIPTION	DECREASE	INCREASE	UNIT COST	DEPARTMENT NAME
A 8021	0	GmSW	MS4 Mapping Project		233,220.00		Stormwater Coalition
TOTAL ESTIMATED REVENUES					233,220.00		
GRAND TOTALS				233,220.00	233,220.00		



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF RESIDENTIAL HEALTH CARE FACILITIES
ALBANY COUNTY NURSING HOME
ALBANY SHAKER ROAD
ALBANY, NEW YORK 12211-1089
PHONE: (518) 869-2231 FAX: (518) 869-1713
www.albanycounty.com

LARRY I. SLATKY
EXECUTIVE DIRECTOR
THOMAS COFFEY
ADMINISTRATOR

July 12, 2016

Hon. Sean Ward
Chair, Albany County Legislature
112 State Street, 7th Floor
Albany, NY 12207

RE: Request for Legislative Action

Dear Chairman Ward:

The Albany County Residential Health Care Facility administration respectfully requests Legislative authorization to apply for NYS Consolidated Funding Application (CFA) funds for the purpose of beginning the renovation of the tower building.

If awarded, this potential project would address much needed upgrades to resident facilities as well as create an opportunity to include additional office space for County agencies and staff within the high-rise building.

While this project is still in very preliminary stages we feel it would be appropriate to take a pro-active stance in terms of exploring funding opportunities.

Thank you in advance for your consideration and I will be available to address any inquiries at the July committee meetings.

Respectfully,

Larry I. Slatky
Executive Director
Albany County Residential Healthcare Facility

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
F. Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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Date Received: 7-29-16
Received by: AM
Method: Hand: ✓
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1890: Request for Legislative Authorization to Apply for CFA Grants for Nursing Home Renovations

DATE: Tuesday, June 28, 2016
DEPARTMENT: Nursing Home
Contact Person: Larry Slatky, Executive Director
Telephone: _____
Dept. Representative Attending Committee Meeting: Larry Slatky, Executive Director

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: X
 New X
 Renewal _____
Submission Deadline Date 7/29/2016
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Capital Region Economic Development Council
433 River Street - Suite 1003
Troy, NY 12180

Amount/Raise Schedule/Fee _____
Scope of Services Renovation of the existing facility and additions to bring living
areas into compliance with Department of Health standards, et
al.

Contract Funding:

Bond Res. No.: _____
Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No
If Mandated Cite: Authority _____
Anticipated in Current Adopted Budget No

County Budget Accounts:
Revenue _____
Appropriation _____

Fiscal Impact - Funding: (Dollars or Percentages)

Federal _____
State _____
County _____
Local _____

Term/Length of Funding: _____

Impact on Pending Litigation No
If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number _____
Date of Adoption _____

Justification: (State briefly why legislative action is requested)

The Albany County Residential Health Care Facility administration respectfully requests Legislative authorization to apply for NYS Consolidated Funding Application (CFA) funds for the purpose of beginning the renovation of the tower building.

If awarded, this potential project would address much needed upgrades to resident facilities as well as create an opportunity to include additional office space for County agencies and staff within the high-rise building.

While this project is still in very preliminary stages we feel it would be appropriate to take a pro-active stance in terms of exploring funding opportunities.

Introduction

In 2011, Governor Andrew M. Cuomo created ten Regional Economic Development Councils (REDC) and the Consolidated Funding Application (CFA) to advance the administration's efforts to improve New York's business climate and expand economic growth.

The REDCs developed strategic plans with input from a broad spectrum of stakeholders taking into account the unique strengths and weaknesses of each region. The strategic plans serve as a 5-year road map, guiding each region's efforts to stimulate economic growth. Each region's strategic plan, implementation agenda and progress reports can be found online at www.regionalcouncils.ny.gov

For Round VI, Regional Councils will once again focus on the implementation of each region's strategic plan, and continue to identify and invest in significant economic development projects. The Governor has directed over \$750 million in State resources to be made available in 2016 to support the economic development priorities of the regions and spur job creation across the state, including \$245 million in competitive funds from Empire State Development.

In 2016, REDCs will advance projects and other actions identified for implementation and identify new strategies, as appropriate, to pursue. The Regional Councils are having a positive impact on economic development, helping to bring unemployment levels down through the creation and retention of thousands of jobs.

During Round VI, in addition to the strategies established in their regional strategic economic development plans, each REDC is asked to focus on the following priorities (additional details available in 2016 REDC Guidebook):

- Support the Downtown Revitalization Initiative Plan and identify projects.
- Implementing additional strategies in their strategic plan.
- Maintaining a pipeline of projects.
- Training the workforce for today and tomorrow.
- Measuring the performance and progress of the strategic plan and CFA projects.

In addition to the \$245 million available for the competitive aspect of the Regional Council process, over \$510 million will be available to applicants through the CFA from various State agencies to support economic development projects that align with REDC strategic plans. The agency resources will support projects in the areas of community development, direct assistance to businesses and other organizations, waterfront revitalization, energy, environmental improvements, sustainability, education and workforce development, and low-cost financing.

This year, there are over 30 programs available through 11 state agencies, including Empire State Development; NYS Canal Corporation; NYS Energy Research and Development Authority; Environmental Facilities Corporation; Homes and Community Renewal; Department of Labor; New York Power Authority; Office of Parks, Recreation and Historic Preservation; Department of State; Department of Environmental Conservation, and Council on the Arts. In an effort to provide the most appropriate state resources through this process, participating agencies will be able to review and potentially provide funding to a project that did not specifically apply to that program, if the materials submitted at time of application satisfy the requirements for that program and allow for fair review under the circumstances. In addition, all programs contained in this document will use regional distribution standards to ensure projects are funded throughout the state.

Please be advised that all CFA grants are subject to the New York State Executive Law Article 15-A which requires, where applicable, the establishment of 30% minority- and women-owned business enterprises ("M/WBE") contract goals.

This document outlines information about each agency's grant programs, including eligibility, scoring criteria, applicant requirements, and agency contact information.

2016 Available Resources

Direct Assistance to Business and Other Organizations: Up to \$262 million

- **Empire State Development**
 - Up to \$175 million for ESD Grant Funds
 - Up to \$70 million for Excelsior Jobs Program
 - Up to \$2.5 million Business Incubator and Innovation Hot Spot Support Program
 - Up to \$1 million for ESD Grants for Strategic Planning and Feasibility Studies
 - Up to \$13.5 million for Market New York

Community Development: Up to \$55 million

- **New York State Council on the Arts**
 - Up to \$5 million for Arts, Culture and Heritage Projects
- **Homes and Community Renewal**
 - Up to \$25 million for New York State Community Development Block Grant Program
 - Up to \$5 million for New York Main Street Program
- **Office of Parks, Recreation and Historic Preservation**
 - Up to \$20 million for Environmental Protection Fund Grants

Waterfront Revitalization: Up to \$20.5 million

- **Department of State**
 - Up to \$19.5 million for the Local Waterfront Revitalization Program
- **New York State Canal Corporation**
 - Up to \$1 million for the Canalway Grants Program

Energy: Up to \$60 million

- **New York State Energy Research and Development Authority**
 - Up to \$60 million for Energy Efficiency Programs
- **New York State Power Authority**
 - Up to 150MW for the ReCharge NY Program

Environmental Improvements: Up to \$40 million

- **Department of Environmental Conservation**
 - Up to \$2 million for NYS DEC/EFC Wastewater Infrastructure Engineering Planning Grant
 - Up to \$26 million for the Water Quality Improvement Projects (WQIP) Program
- **Environmental Facilities Corporation**
 - Up to \$10 million for the Green Innovation Grant Program

Sustainability Planning and Implementation: Up to \$15 million

- **Department of Environmental Conservation**
 - Up to \$11 million for the Climate Smart Communities Program
- **Department of State**
 - Up to \$4 million for Local Government Efficiency Grants

Education/Workforce Development: Up to \$5 million

- **Department of Labor**
 - Up to \$5 million for Workforce Development

Low Cost Financing: Up to \$300 million

- **Empire State Development**
 - Up to \$300 million for Industrial Development Bond (IDB) Cap



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT OF SOCIAL SERVICES
162 WASHINGTON AVENUE
ALBANY, NEW YORK 12210-2304
(518) 447-7300
WWW.ALBANYCOUNTY.COM

ERIC P. EHRMANN
COMMISSIONER
MICHELE G. MCCLAVE
EXECUTIVE DEPUTY
COMMISSIONER

July 13, 2016

Chairman Sean E. Ward
Legislative Clerk's Office
112 State St., Room 710
Albany, NY 12207

Dear Chairman Ward,

The Department of Social Services respectfully requests legislative approval for the following:

As authorized by Resolution #482 of 2005, the County has entered into an MOU with the NYS Department of Health and the NYS Medicaid Inspector General regarding fraud, waste and abuse control activities. In order to continue supporting this initiative, the Albany County Department of Social Services released RFP (#2014-107) for auditing services to assist in determining potential fraud and abuse within the Medicaid Program.

The existing contract stipulates that at the end of the initial 12 month term the County may renew for three (3) additional years, in three (3) consecutive one (1) year intervals. This request represents the second optional extension of the existing contract. Island Peer Review Organization's performance to date has been satisfactory and for the past eleven years they have been assisting the County in conducting audits of providers to determine if waste, fraud or abuse occurred and to determine the amount of any overpayments to be repaid.

At present there are twenty-two potential provider audits. The NYS Medicaid Inspector General has approved nineteen of these for audit and eleven are currently in the active audit stage. We are unable to provide the dollar amount until the audits are finalized by NYSDOH.

Sincerely,

Eric P. Ehrmann
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Majority Counsel
Minority Counsel

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Date Received:	<u>7-13-16</u>
Received by:	<u>PK</u>
Method: Hand:	<input checked="" type="checkbox"/>
Courier:	_____
Mail:	_____

REQUEST FOR LEGISLATIVE ACTION

RLA #1894: Contract Authorization for Social Services (Island Peer Review organization)

DATE: Friday, July 01, 2016

DEPARTMENT: Social Services

Contact Person: Joe DeAngelis, Contract Administrator

Telephone: 518-447-7583

Dept. Representative Attending
Committee Meeting: Eric Ehrmann, Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS
STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION
STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services X
- Education/Training _____
- Grant: _____
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Island Peer Review Organization, Inc.
 1979 Marcus Avenue
 Lake Success, NY 11042-1002

Amount/Raise Schedule/Fee \$166,000.00

Scope of Services The Provider will conduct audits of Medicaid providers to verify compliance with the Medicaid Program and to determine any overpayments to be repaid

Contract Funding:

Bond Res. No.:

Date of Adoption:

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget Yes

County Budget Accounts:

	Account Code	Line	Amount
Revenue	AA6010	04610	\$83,000.00
Revenue	AA6010	03610	\$83,000.00
	Account Code	Line	Amount
Appropriation	AA6010	44056	\$166,000.00

Fiscal Impact - Funding: (Dollars or Percentages)

	Medicaid Fraud Initiative
Federal	50%
State	50%
County	0%

Term/Length of Funding: 12 Months (10/1/2016 - 9/30/2017)

Impact on Pending Litigation No

If yes, please explain:

Previous Requests for Identical or Similar Action

Resolution/Law Number 482, 261

Date of Adoption 12/5/2005, 7/13/2015

Justification: (State briefly why legislative action is requested)

As authorized by Resolution #482 of 2005, the County has entered into an MOU with the NYS Department of Health and the NYS Medicaid Inspector General regarding fraud, waste and abuse control activities. In order to continue supporting this initiative, the Albany County Department of Social Services released RFP (#2014-107) for auditing services to assist in determining potential fraud and abuse within the Medicaid Program.

The existing contract stipulates that at the end of the initial 12 month term the County may renew for three (3) additional years, in three (3) consecutive one (1) year intervals. This request represents the second optional extension of the existing contract. Island Peer Review Organization's performance to

date has been satisfactory and for the past eleven years they have been assisting the County in conducting audits of providers to determine if waste, fraud or abuse occurred and to determine the amount of any overpayments to be repaid.

At present there are twenty-two potential provider audits. The NYS Medicaid Inspector General has approved nineteen of these for audit and eleven are currently in the active audit stage. We are unable to provide the dollar amount until the audits are finalized by NYSDOH.

Back-up Material Submitted:

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1894/05-482.doc>

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1894/Cover Letter Island Peer Review Organization.docm>

Submitted by:	<u>Eric Ehrmann</u>
Title:	<u>Commissioner</u>



Cornell University
Cooperative Extension
Albany County

Weatherization Program
P.O. Box 497, 24 Martin Road
Voorheesville, NY 12186
t. 518.765.3539
f. 518.765.3576
www.ccealbany.com

05 July 2016

Honorable Sean E. Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, NY 12207

Dear Mr. Ward:

Enclosed is a request for legislative action for funding for the Weatherization Assistance Program for the 2016 program year. This is the final year of a three year contract, which runs from 01 April 2016 to 31 March 2017. This request is for \$ 499,219. We may receive a revised dollar amount in the near future.

Sincerely,

Lisa V. Godlewski
Association Executive Director
Enc.

Helping New Yorkers Improve Their Lives and Communities

Cornell Cooperative Extension is an employer and educator recognized for valuing AA/EEO, Protected Veterans, and Individuals with Disabilities and provides equal program and employment opportunities.

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FOR COUNSEL USE ONLY	

Date Received:	_____
Received By :	_____
Method: Hand:	_____
Courier:	_____
Mail :	_____

REQUEST FOR LEGISLATIVE ACTION

DATE: 05 July 2016

DEPARTMENT: Cornell Cooperative Extension Albany County

Contact Person: Lisa V. Godlewski, Association Executive Director

Telephone: 518-765-3500

Dept. Representative Attending
Committee Meeting: Elmore (Butch) S. Lawyer; John Carrigan

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (See Below) X
- Contract Authorization (See below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: New York State Division of Housing and Community Renewal

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements _____
- Professional Services _____
- Education/Training _____
- Grant _____
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING :

Contract Terms/Conditions: This is the final year or a three year contract. The 2016-17 allotment for Weatherization activity is \$ 499,219.

Party (Name/Address): Cornell Cooperative Extension Albany County
PO Box 497, 24 Martin Road
Voorheesville, New York 12186

Amount/Raise Schedule/Fee: \$499,219

Term: 01 April 2016 through 31 March 2017

Scope of Services: Weatherize homes in Albany County

Contract Funding:

Anticipated in Current Budget: Yes No

Funding Source:

County Budget Accounts

Revenue:

Increase Revenue Acct. A4950 Weatherization by \$499,219

Appropriation:

Increase Appropriations Acct. A8753.4 by \$499,219 by increasing line item A8753 4 4480 Weatherization by \$499,219

Bond (Res.No. & Date of Adoption)

CONCERNING ALL REQUESTS:

Mandated Program/Service: Yes No

If Mandated Cite: Authority

Anticipated in Current Adopted Budget: Yes No

If yes indicate Revenue/Appropriation Account:

Fiscal Impact - Funding: (dollars or Percentages) NA

Federal 100%

State

County

Term/Length of Funding

Previous Requests for Identical or Similar Action:

Resolution /Law Number 146 of 2013

Date of Adoption: Adopted 5-13-2013

Justification: (State briefly why legislative action is requested) – This is to sign a new contract for a 3 year period April 1 2014 through March 31 2017 The Weatherization funds of \$ 499,219 are now available for the third year period of April 1 2016 through March 31 2017

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

Submitted By:

Title:

Lisa V. Godteuski
Association Executive Director

Budget Amendment Spreadsheet - 2016

ACCOUNT NO	RESOLUTION DESCRIPTION	ORIGINAL BUDGET	INCREASE	DECREASE	REVISED BUDGET	DEPARTMENT NAME
A 8753	4 4480	Weatherization	\$	115,782.00	\$ 383,437.00	
					\$	499,219.00 Cornell Coop

TOTAL APPROPRIATIONS \$ 383,437.00 \$ -

ESTIMATED REVENUES

ACCOUNT NO	RESOLUTION DESCRIPTION	ORIGINAL BUDGET	DECREASE	INCREASE	REVISED BUDGET	DEPARTMENT NAME
A 8753	0 4950	Weatherization	\$	115,782.00	\$ 383,437.00	
					\$	499,219.00 Cornell Coop

TOTAL ESTIMATED REVENUES \$ - \$ 383,437.00

GRAND TOTALS

\$ 383,437.00 \$ 383,437.00



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR AGING
162 WASHINGTON AVENUE
ALBANY, NEW YORK 12210
ADMINISTRATION: (518) 447-7198
GENERAL INFORMATION: (518) 447-7177
FAX: (518) 447-7722
WWW.ALBANYCOUNTY.COM

GEORGE A. BROWN
COMMISSIONER

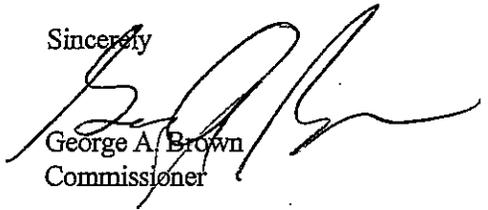
July 5, 2016

Hon. Sean Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, NY 12207

Dear Chairman Ward:

The Department for Aging (DFA) requests legislative approval to accept grant funding from NYSOFA and enter into a Memorandum of Understanding with the Department of Social Services (DSS) regarding the Expanded In-home Services for the Elderly Program (EISEP) grant from New York State for Aging. This grant funding enables many frail elderly to remain in their homes. It gives them access to a well-planned, coordinated package of in-home and other supportive services designed to supplement informal care from their families. It is a uniform statewide program of non-medical in-home, case management, non-institutional respite and ancillary services for functionally impaired elderly (aged 60 years and older) who are in need of community based long term care and are not eligible for similar services under Medicaid or other entitlement programs. In the last year 596 eligible seniors received services from Albany County through this program. There were 57,198 hours of case management utilized.

Sincerely


George A. Brown
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

321

**FOR COUNSEL USE
ONLY**

Date Received: 7-13-16

Received by: [Signature]

Method: Hand: [Signature]

Courier: _____

Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1895: Authorization to accept grant funding from NYSOFA and approve an MOU between the Albany County Department for Aging and the Department of Social Services to provide EISEP services and contract with New York State approved agencies.

DATE: Tuesday, July 05, 2016

DEPARTMENT: Aging

Contact Person: Patrick Dillon, Contract Administrator

Telephone: 518-447-7733

Dept. Representative Attending
Committee Meeting: George Brown, Commissioner

PURPOSE OF REQUEST:

- Adopting of Local Law _____
- Amendment of Prior Legislation _____
- Approval/Adoption of Plan/Procedure _____
- Bond Approval _____
- Budget Amendment (see below) _____
- Contract Authorization (see below) X
- Environmental Impact _____
- Home Rule Request _____
- Property Conveyance _____
- Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

- Increase Account/Line No. _____
- Source of Funds: _____
- Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

- Change Order/Contract Amendment _____
- Purchase (Equipment/Supplies) _____
- Lease (Equipment/Supplies) _____
- Requirements Professional Services _____
- Education/Training _____
- Grant: X
- New _____
- Renewal _____
- Submission Deadline Date _____
- Settlement of a Claim _____
- Release of Liability _____
- Other: (State briefly) X
- Grant Acceptance _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Albany County Department of Social Services 162 Washington Avenue Albany NY 12110
Amount/Raise Schedule/Fee	\$2,070,000.00
Scope of Services	The Department of Aging and the Department of Social Services request authorization to enter into an interdepartmental agreement for the provision of Expanded In-Home Services for the Elderly Program (EISEP). The EISEP Program provides assessments, case management, and non medical home care services for program eligible seniors. The EISEP program has been shown to delay and sometimes prevent the need for more costly nursing home care.

Contract Funding:

Bond Res. No.:	_____
Date of Adoption:	_____

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	_____
Anticipated in Current Adopted Budget	Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6772	03781	\$824,665.00
	Account Code	Line	Amount
Appropriation	AA6772	44425	\$2,070,000.00

Fiscal Impact - Funding: (Dollars or Percentages)

	NY STATE OFFICE for AGING
Federal	0%
State	40%
County	60%
Local	0%

Term/Length of Funding:	12 Months (4/1/2016 - 3/31/2017)
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<u>Impact on Pending Litigation</u>	No
If yes, please explain:	_____

Previous Requests for Identical or Similar Action

Resolution/Law Number	466
Date of Adoption	11/9/2015

Justification: (State briefly why legislative action is requested)

The Albany County Department for Aging is seeking authorization to accept grant funding and enter into an MOU with the Department of Social Services for EISEP services. EISEP home care services are provided to eligible persons to allow them to remain in their own home, thus precluding or delaying more costly nursing home care.

The purpose of the EISEP program is to provide eligible seniors with assistance with activities of daily living so that they are able to stay at home and be independent as long as they desire to do so. EISEP services are non-medical in-home services meant to address the needs of seniors who are not eligible to

receive support from Medicaid or other programs. For many, EISEP services supplement informal family caregiver efforts by providing needed supportive services that allow seniors to continue to live in the comfort of familiar surroundings in their own community. The non-medical in-home services include non-hands on care such as Light Housekeeping; Laundry; Meal Preparation; Escort Assistance; Errands and Shopping, and hands on personal care including Bathing; Grooming; Dressing; Toileting; Transferring and Ambulation; Feeding; Self-Administration of Medication. Last year the Albany County EISEP program provided 57,198 hours of in-home care and case management to 596 seniors.

\$2,070,000 will be spent on direct care for seniors: in-home supportive non-medical services will be provided to Albany County residents.

The Albany County Department for Aging will work in coordination with the Department of Social Services to provide:

- An initial screening of individuals eligible for the EISEP program
- An in-home assessment
- Case management
- Individualized care plan development
- The arrangement and coordination of in-home services
- Ongoing client monitoring and follow up

Case management services and assessments will be provided by DSS staff.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/EISEP Cover Letter.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/EISEP%20Cover%20Letter.pdf)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/Prior Resolution No.466 EISEP.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/Prior%20Resolution%20No.466%20EISEP.pdf)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/EISEP - Final Allocation Schedule.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1895/EISEP%20-%20Final%20Allocation%20Schedule.pdf)

Submitted by:

George Brown

Title:

Commissioner



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR AGING
162 WASHINGTON AVENUE
ALBANY, NEW YORK 12210
ADMINISTRATION: (518) 447-7198
GENERAL INFORMATION: (518) 447-7177
FAX: (518) 447-7722
WWW.ALBANYCOUNTY.COM

GEORGE A. BROWN
COMMISSIONER

July 5, 2016

Hon. Sean Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, NY 12207

Dear Chairman Ward:

The Department for Aging (DFA) requests legislative approval to accept grant funding from NYSOFA and enter into a Memorandum of Understanding with the Department of Social Services (DSS) regarding the establishment of a Central Assessment Unit in connection with the provision of home delivered meals and adult day care services. The DSS shall perform intake functions, including the preliminary evaluation of eligibility for the Home Delivered Meal and Adult Day Care services based on age and residence as well as the existence of any exigent circumstances on the part of the applicant.

DSS shall collect information about an applicant's situation and level of functioning from the client and his or her caregivers to identify the senior's specific needs and problems in major functional areas. DSS shall also schedule a re-examination of the clients' situation and functioning, to identify changes that have occurred since the last assessment and measure progress toward goals outlined in the care plan.

For applicants requiring services beyond the scope of the home-delivered meals programs and adult daycare programs, DSS shall undertake a comprehensive process to help the older person gain access to and coordinate appropriate services, benefits and entitlements through the use of a standardized plan of assessment and reassessment, care planning and developing a care plan, arranging for services, follow-up and monitoring, and discharge planning.

Sincerely,

George A. Brown
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

322

FOR COUNSEL USE ONLY	
Date Received:	<u>7-13-16</u>
Received by:	<u>PK</u>
Method: Hand:	<input checked="" type="checkbox"/>
Courier:	<input type="checkbox"/>
Mail:	<input type="checkbox"/>

REQUEST FOR LEGISLATIVE ACTION

RLA #1896: Authorization to accept grant funding from NYSOFA and to establish a Memorandum of Understanding between Albany County Department for Aging and Albany County Department of Social Services to provide Central Assessment Services

DATE: Tuesday, July 05, 2016

DEPARTMENT: Aging

Contact Person: Patrick Dillon, Contract Administrator

Telephone: 518-447-7733

Dept. Representative Attending Committee Meeting: George Brown, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____

Amendment of Prior Legislation _____

Approval/Adoption of Plan/Procedure _____

Bond Approval _____

Budget Amendment (see below) _____

Contract Authorization (see below) X

Environmental Impact _____

Home Rule Request _____

Property Conveyance _____

Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____

Source of Funds: _____

Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____

Purchase (Equipment/Supplies) _____

Lease (Equipment/Supplies) _____

Requirements Professional Services _____

Education/Training _____

Grant: X

 New _____

 Renewal _____

 Submission Deadline Date _____

Settlement of a Claim _____

Release of Liability _____

Other: (State briefly) X

Grant Acceptance _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	Albany County Department of Social Services (DSS) 162 Washington Avenue, Albany, New York 12210
Amount/Raise Schedule/Fee	\$52,500.00
Scope of Services	In connection with the provision of home delivered meals and adult day care the Department of Social Services shall assess program eligibility, perform MDS assessments, and enter/report data into the Peer Place data collection system.

Contract Funding:

Bond Res. No.:	_____
Date of Adoption:	_____

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	_____
Anticipated in Current Adopted Budget	Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6772	03783	\$52,500.00
	Account Code	Line	Amount
Appropriation	AA6772	44453	\$52,500.00

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	0%
State	100%
County	0%
Local	0%

Term/Length of Funding:	12 Months (4/1/2016 - 3/31/2017)
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<u>Impact on Pending Litigation</u>	No
If yes, please explain:	_____

Previous Requests for Identical or Similar Action

Resolution/Law Number	467.
Date of Adoption	11/9/2015

Justification: (State briefly why legislative action is requested)

DSS shall perform intake functions, including the preliminary evaluation of eligibility for the home delivered meal and adult day care services based on age and residence as well as the existence of any exigent circumstances on the part of the applicant.

Home Delivered Meal / Adult Day Care Assessments: and in home, face to face assessment is required for all persons referred to the DFA home delivered meal program. DSS shall collect information about an applicant's situation and level of functioning from the client and his or her caregivers to identify the senior's specific needs and problems in major functional areas.

2015 HDM Assessment Totals - 306
 2015 Adult Day Care Assessments Totals - 18

Home Delivered Meal / Adult Day Care Reassessments: DSS shall conduct a scheduled re- examination of the clients' situation and functioning, to identify changes that have occurred since the last assessment and measure progress towards goals outlined in the care plan.

2015 HDM Reassessment - 522

2015 Adult Day Care Reassessments - 53

Information, Referral and Follow Up: for applicants requiring services beyond the scope of the home delivered meals and adult day care programs, DSS shall undertake a comprehensive process to help the senior gain access to appropriate services, benefits and entitlements through the use of a standardized plan of assessment and reassessment, this includes developing a care plan, arranging for services, follow up, monitoring, and discharge planning.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Central Assessment Cover Letter.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Central%20Assessment%20Cover%20Letter.pdf)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Central Assessment \(WIN\) Final Allocation Schedule.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Central%20Assessment%20(WIN)%20Final%20Allocation%20Schedule.pdf)

[http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Prior Resolution No.467 Central Assessment.pdf](http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1896/Prior%20Resolution%20No.467%20Central%20Assessment.pdf)

Submitted by:

George Brown

Title:

Commissioner



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR AGING
162 WASHINGTON AVENUE
ALBANY, NEW YORK 12210
ADMINISTRATION: (518) 447-7198
GENERAL INFORMATION: (518) 447-7177
FAX: (518) 447-7722
WWW.ALBANYCOUNTY.COM

GEORGE A. BROWN
COMMISSIONER

July 5, 2016

Hon. Sean Ward, Chairman
Albany County Legislature
112 State Street, Room 710
Albany, NY 12207

Dear Chairman Ward:

The Department for Aging (DFA) requests legislative approval to accept one time grant funding from NYSOFA for the Aging Mastery Program (AMP) for the period January 1, 2016 through March 31, 2017.

The Aging Mastery Program (AMP) is a behavior change incentive program for aging well. Central to the AMP philosophy is the belief that modest lifestyle changes can produce substantial results and that people can be empowered and supported to cultivate health and longevity. The program encourages mastery which each level of mastery is based on consistency and duration, allowing participants to set individual goals. Another key element of the program is the reward system, designed to both motivate and encourage ongoing participation.

NYSOFA has requested that ACDFA host the AMP, Albany Jewish Community Center and Senior Services of Albany have been chosen by NYSOFA to implement the AMP's to benefit the senior's in Albany County.

Sincerely


George A. Brown
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Patrick Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

323

**FOR COUNSEL USE
ONLY**

Date Received: 7-13-14
Received by: PM
Method: Hand: _____
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1885: Authorization to accept grant funding from NYSOFA for the Aging Mastery Program.

DATE: Tuesday, June 21, 2016
DEPARTMENT: Aging
Contact Person: Patrick Dillon, Contract Administrator
Telephone: 518-447-7733
Dept. Representative Attending
Committee Meeting: George Brown, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below)
Contract Authorization (see below)
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant:
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly)
Grant Acceptance _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address)	New York State Office for Aging Two Empire State Plaza Albany, New York 12223-1251
Amount/Raise Schedule/Fee	\$20,000.00
Scope of Services	An Aging Mastery Program incorporates evidence-based materials, expert speakers, group discussion, and peer support to give participants the skill and tools they need to achieve measurable improvements in managing their health and remaining economically secure. The program aims to empower older adults to make and maintain small, effective changes to their behaviors to live a healthier, happier and more secure life.

Contract Funding:

Bond Res. No.:	_____
Date of Adoption:	_____

CONCERNING ALL REQUESTS:

Mandated Program/Service:	No
If Mandated Cite: Authority	_____
Anticipated in Current Adopted Budget	No

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6772	03448	\$20,000.00
	Account Code	Line	Amount
Appropriation	AA6772	44046	\$20,000.00

Fiscal Impact - Funding: (Dollars or Percentages)

Federal	0%
State	100%
County	0%
Local	0%

Term/Length of Funding:	15 Months (1/1/2016 - 3/31/2017)
-------------------------	----------------------------------

<u>Impact on Pending Litigation</u>	No
If yes, please explain:	_____

Previous Requests for Identical or Similar Action

Resolution/Law Number	_____
Date of Adoption	_____

Justification: (State briefly why legislative action is requested)

The Aging Mastery Program (AMP) is a behavior change incentive program for aging well. Central to the AMP philosophy is the belief that modest lifestyle changes can produce substantial results and that people can be empowered and supported to cultivate health and longevity. The program encourages mastery which each level of mastery is based on consistency and duration, allowing participants to set individual goals. Another key element of the program is the reward system, designed to both motivate and encourage ongoing participation.

Budget Amendment Spreadsheet - 2016

ACCOUNT NO.	RESOLUTION DESCRIPTION	ORIGINAL BUDGET	INCREASE	DECREASE	REVISED BUDGET	DEPARTMENT NAME
A9 6772 44046	Fees For Service	2,128,800.00	\$20,000.00		\$2,148,800.00	AGING
TOTAL APPROPRIATIONS			\$20,000.00	\$0.00		

ACCOUNT NO.	RESOLUTION DESCRIPTION	DECREASE	INCREASE	REVISED BUDGET	DEPARTMENT NAME
A3 6772 03448	AGING MASTERY PROGRAM (AMP)	0.00	\$20,000.00	\$20,000.00	AGING
TOTAL ESTIMATED REVENUES		\$0.00	\$20,000.00		
GRAND TOTALS		\$20,000.00	\$20,000.00		

NOTIFICATION OF GRANT AWARD
AGENCY MASTERY PROGRAM (AMP)

Fiscal Year from which funds are awarded: 2015		This award is New	
Name & Address of Area Agency: Albany County Department of the Aging 162 Washington Avenue, 6th floor Albany, NY 12210-2304		Approved Costs	
		<u>Cost Categories</u>	<u>Amount</u>
		1. Personnel	\$0
		2. Fringe Benefits	0
		3. Equipment	0
Grantee Budget- State Funding		4. Travel	0
State Share	\$20,000	5. Maintenance and Operations	0
Other Resources Cash	0	6. Other Expenses	0
Other Resources In-Kind	0	7. Contracts and/or Consultants	20,000
Total Budget	<u>\$20,000</u>	8. Total Budget	<u>\$20,000</u>

REMARKS: In addition to the conditions contained in the approved application, the conditions below apply to this award:

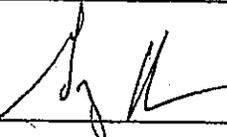
1. These funds will be utilized to operate the Aging Mastery Program as outlined in the Program Work Plan and budget attached hereto.

2. Receipt of state funds (either through advance or reimbursement) does not constitute earning of these funds. The state share of the project cost is earned only when allowable costs have been incurred and paid.

3. Unless revised, the amount listed as the "State Share" above will constitute a ceiling for reimbursement.

4. A separate audit trail is to be maintained for these funds and copies of all receipts and other pertinent fiscal and programmatic documentation are to be maintained by the recipient for subsequent audit.

5. The award is conditional upon approval of the Application referenced above, the funds must be repaid if such Application does not receive final approval after appropriate modifications, if any.

Name & Title of Authorizing Official	Signature	Date
Greg Olsen, Acting Director		6-13-16



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET - SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycounty.com

GAIL GEOHAGEN-PRATT
COMMISSIONER

MOIRA E. MANNING
DEPUTY COMMISSIONER

July 11, 2016

Hon. Sean E. Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Ward:

Enclosed is our Request for Legislative Action for permission to renew a contractual agreement with SUNY Albany School of Social Welfare- Center for Human Services Research for \$38,279 as part of the continuation of the Juvenile Detention Alternatives Initiative (JDAI). The term of this contract is April 1, 2016 – December 31, 2016 with a county share of approximately 38%.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-7792.

Sincerely,

Gail Geohagen-Pratt
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Pat Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

324

FOR COUNSEL USE ONLY

Date Received: 7-13-16
Received by: PM
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1905: Contract Authorization with SUNY Albany School of Social Welfare for JDAI

DATE: Wednesday, July 06, 2016
DEPARTMENT: Children, Youth and Families
Contact Person: Beth O'Neil, Director of Accounts
Telephone: 518-447-5541
Dept. Representative Attending
Committee Meeting: Gail Geohagen, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services X
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)

STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) SUNY Albany School of Social Welfare-Center for Human Services
Research
Richardson Hall
135 Western Ave
Albany, NY 12203

Amount/Raise Schedule/Fee \$38,279.00

Scope of Services Implementation of a Juvenile Detention Alternative Initiative (JDAI) site.

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6071	03670	\$23,733.00
Appropriation	AA6071	44046	\$38,279.00

Fiscal Impact - Funding: (Dollars or Percentages)

	OCFS STSJP
Federal	0%
State	62%
County	38%
Local	0%

Term/Length of Funding: 9 Months (4/1/2016 - 12/31/2016)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number 15-266, 14-124

Date of Adoption 7/13/15, 4/14/14

Justification: (State briefly why legislative action is requested)

Please see attached for justification

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1905/JDAI SUNY justification 2016 Transition.docx>

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1905/SUNY BUDGET - JDAI 4-1-16 through 12-31-16.xls>

Department for Children, Youth and Families

Backup Material for Contract Renewal Authorization with SUNY Albany School of Social Welfare- Center for Human Services Research under the Juvenile Detention Alternative Initiative (JDAI)

The Department respectfully requests Legislative authorization for contract renewal with the State University of New York Albany School of Social Welfare—Center for Human Services Research for the period of April 1, 2016 through December 31, 2016 in the amount of \$38,279.

The Office of Children and Family Services (OCFS) Division of Juvenile Justice and Opportunities for Youth (DJJOY) chose Albany County DCYF as one among six counties in 2014 to comprise New York State's (NYS) first cohort of official Juvenile Detention Alternative Initiative (JDAI) sites.

In exchange for the County's commitment to implement JDAI's eight core strategies, Albany County will again receive an increase to the county's STSJP allocation for the 2016-17 fiscal year (10/1/16-3/31/17), and in the interim (4/1/16-9/30/16), the Department has sufficient unspent STSJP funds to cover the costs of this contractual agreement. These funds are intended for the expressed purpose of supporting a "JDAI Coordinator" position to help organize and execute the work of local stakeholders in further pursuing the eight core strategies of JDAI in your site.

Albany County DCYF will continue to utilize the JDAI coordinator funding in a diverse manner to obtain the best possible outcomes for the Department and its services. Therefore, the Department will renew a contract with SUNY Center for Human Services Research for \$38,279 to have a juvenile justice research assistant 45% of the time working with the Department, as well as the County Attorney's Office and the Probation Department, to assess all juvenile justice programs and initiatives to ensure the impact and design to reach the ultimate best outcomes for youth and their families. Additionally, we will continue to have a per diem staff for the remainder of the allocation, who has previous knowledge and involvement in the Albany County Juvenile Justice system to continue to bring the local stakeholders together, continue workgroups and community engagement to reform the juvenile justice system in Albany County.

The goal of JDAI is to further reduce unnecessary and inappropriate placement in secure detention for NYS' youth. The initiative accomplishes this goal by bringing together all the necessary stakeholders and cooperatively developing and implementing local strategies and alternatives to achieve this goal. JDAI provides the framework within which local and state key actors will analyze data, draw conclusions and utilize proven strategies to address systems issues. In addition, JDAI brings an important and very deliberate focus on reducing racial and ethnic disparities in the juvenile justice system. The Casey Foundation and Haywood Burns Institute (Burns institute) will continue to provide technical assistance and training to improve the local and statewide juvenile justice system to bring the greatest impact from state and local resources.

On the local level, the selection of Albany County as part of NYS' first cohort of six JDAI sites was based upon our county's demonstrated leadership in juvenile justice system reform to date. Each selected JDAI county has already begun to implement JDAI reform efforts including:

- 1) use of a collaborative process to assess admissions and detention alternative program development; and
- 2) use of data to inform the design of solutions to identified problems.

Further, each of the six identified sites has already made improvements in its local juvenile justice system. These improvements include the achievement of safe reductions in the number of youth in secure detention and reductions in racial and ethnic disparities. Incorporating these efforts and achieving these outcomes has resulted in Albany County being in the best position to represent NYS in this growing national initiative.



DANIEL P. MCCOY
COUNTY EXECUTIVE

COUNTY OF ALBANY
DEPARTMENT FOR CHILDREN, YOUTH AND FAMILIES
112 STATE STREET - SUITE 300
ALBANY, NEW YORK 12207
(518) 447-7324 - FAX (518) 447-7578
www.albanycounty.com

GAIL GEOHAGEN-PRATT
COMMISSIONER

MOIRA E. MANNING
DEPUTY COMMISSIONER

July 11, 2016

Hon. Sean E. Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

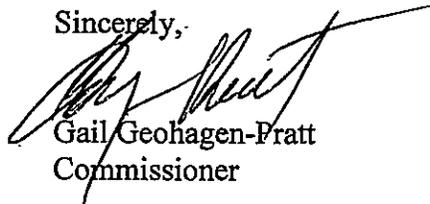
Dear Chairman Ward:

Enclosed is our Request for Legislative Action for permission to sign and submit a Supervision and Treatment Services for Juvenile Program (STSJP) Transition Period Application and Request for Rollover Waiver to NYS OCFS for the term of April 1, 2016 – September 30, 2016.

Unused funding from the prior year as well as unused detention allocation funding from NYS OCFS will be shifted to cover the costs during this transition period.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-7792.

Sincerely,



Gail Geohagen-Pratt
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Pat Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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Date Received: 7-13-16
Received by: DM
Method: Hand:
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1903: Contract Authorization OCFS for Supervision and Treatment Services for Juvenile Program

DATE: Wednesday, July 06, 2016
DEPARTMENT: Children, Youth and Families
Contact Person: Beth O'Neil, Director of Accounts
Telephone: 518-447-5541
Dept. Representative Attending
Committee Meeting: Gail Geohagen, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) X
contract and plan for state funding allocation _____

CONCERNING CONTRACT AUTHORIZATION (Cont'd)
STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144

Amount/Raise Schedule/Fee \$178,052.00

Scope of Services Supervision and Treatment Services for Juveniles Program (STSJP)
for the 6 month transition period before the new funding begins.
This one time transition period is due to a change in the state
funding cycle for the STSJP program.

Contract Funding:

Bond Res. No.: _____
Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6071	03670	\$178,052.00

	Account Code	Line	Amount
Appropriation	AA6071	44046	\$287,181.00

Fiscal Impact - Funding: (Dollars or Percentages)

	NYS OCFS - STSJP
Federal	0%
State	62%
County	38%
Local	0%

Term/Length of Funding: 6 Months (4/1/2016 - 9/30/2016)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number 15-264, 15-62, 14-124

Date of Adoption 7/13/15, 2/9/15, 4/14/14

Justification: (State briefly why legislative action is requested)

Please see attached.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1903/STSJP Transition 2016 Coverletter.doc>
<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1903/2016 STSJP Transition Notification Letter 6 10 16.pdf>
<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1903/STSJP 2016 Transition Justification.docx>

Submitted by: Gail Geohagen
Title: Commissioner

Department for Children, Youth and Families

Backup Material for Authorization for Signature and Submission of a Supervision and Treatment Services for Juveniles Program Transition Period Application and Request for Rollover Waiver to New York State Office of Children and Family Services

The Department respectfully requests Legislative authorization to sign and submit a Supervision and Treatment Services for Juvenile Program (STSJP) Transition Period Application and Request for Rollover Waiver to NYS OCFS for the term of April 1, 2016 – September 30, 2016.

NYS Supervision and Treatment Services for Juveniles Program (STSJP) provides funding to support local programming to divert youth safely from unnecessary detention and/or placement admissions. It is a key element of New York's juvenile justice reform efforts. STSJP funding is allocated to each locality by NYS OCFS.

In 2016, the STSJP appropriation for State Fiscal Year 2016-2017 will be for services provided during the program year of October 1 through September 30. In previous years, funding was for a program year of April 1 through March 31. Because the NYS enacted budget changed the program year for STSJP, there is a transition period from April 1, 2016 through September 30, 16. Municipalities may apply to OCFS to continue any STSJP services included in their approved STJP plans for program year 2015-2016 during the period of April 1, 2016 through September 30, 2016. Such transition also allows for Municipalities to apply to NYS OCFS for a rollover waiver to utilize any unspent STSJP funds for the program year 2015-2016 and also to shift funding from the 2015 detention allocations to also assist in covering costs for this transition period.

All counties are required to sign and submit a STSJP Transition Period Application and Request for Rollover Waiver if the municipality is continuing STSJP services during the transition period and requesting to rollover unspent STSJP funds. Albany County is seeking to take advantage of both of these opportunities.



DANIEL P. MCCOY
COUNTY EXECUTIVE

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GAIL GEOHAGEN-PRATT
COMMISSIONER

MOIRA E. MANNING
DEPUTY COMMISSIONER

July 11, 2016

Hon. Sean E. Ward, Chairman
Albany County Legislature
112 State St., Rm. 710
Albany, NY 12207

Dear Chairman Ward:

Enclosed is our Request for Legislative Action for permission to receive anticipated funding from NYS OCFS and to sign and submit our 2016-17 Supervision and Treatment Services for Juvenile Program Plan (STSJP) to NYS OCFS. The funding allocation is \$174,643 for the term of October 1, 2016 – September 30, 2017.

The total funding will be included in the 2017 DCYF Budget request and carries a 38% local cost for utilization.

The Department respectfully requests consideration in this matter. If you have any questions or need additional information, please do not hesitate to contact me directly at 447-7792.

Sincerely,

Gail Geohagen-Pratt
Commissioner

cc: Frank Commisso, Majority Leader
Frank Mauriello, Minority Leader
Pat Jeffers, Majority Counsel
Arnis Zilgme, Minority Counsel

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Date Received: 7-13-16
Received by: PM
Method: Hand: C
Courier: _____
Mail: _____

REQUEST FOR LEGISLATIVE ACTION

RLA #1904: Contract Authorization OCFS for Supervision and Treatment Services for Juvenile Program

DATE: Wednesday, July 06, 2016
DEPARTMENT: Children, Youth and Families
Contact Person: Beth O'Neil, Director of Accounts
Telephone: 518-447-5541
Dept. Representative Attending
Committee Meeting: Gail Geohagen, Commissioner

PURPOSE OF REQUEST:

Adopting of Local Law _____
Amendment of Prior Legislation _____
Approval/Adoption of Plan/Procedure _____
Bond Approval _____
Budget Amendment (see below) _____
Contract Authorization (see below) X
Environmental Impact _____
Home Rule Request _____
Property Conveyance _____
Other: (State briefly if not listed above) _____

CONCERNING BUDGET AMENDMENTS

STATE THE FOLLOWING:

Increase Account/Line No. _____
Source of Funds: _____
Title Change: _____

CONCERNING CONTRACT AUTHORIZATION

STATE THE FOLLOWING:

TYPE OF CONTRACT:

Change Order/Contract Amendment _____
Purchase (Equipment/Supplies) _____
Lease (Equipment/Supplies) _____
Requirements Professional Services _____
Education/Training _____
Grant: _____
 New _____
 Renewal _____
 Submission Deadline Date _____
Settlement of a Claim _____
Release of Liability _____
Other: (State briefly) X
contract and plan for state funding allocation

CONCERNING CONTRACT AUTHORIZATION (Cont'd)

STATE THE FOLLOWING:

Contract Terms/Conditions:

Party (Name/Address) Office of Children and Family Services
52 Washington Street
Rensselaer, NY 12144

Amount/Raise Schedule/Fee \$174,643.00

Scope of Services Supervision and Treatment Services for Juveniles Program

Contract Funding:

Bond Res. No.: _____

Date of Adoption: _____

CONCERNING ALL REQUESTS:

Mandated Program/Service: No

If Mandated Cite: Authority _____

Anticipated in Current Adopted Budget Yes

County Budget Accounts:	Account Code	Line	Amount
Revenue	AA6071	03670	\$174,643.00
Appropriation	AA6071	44046	\$281,682.00

Fiscal Impact - Funding: (Dollars or Percentages)

	NYS OCFS - STSJP
Federal	0%
State	62%
County	38%
Local	0%

Term/Length of Funding: 12 Months (10/1/2016 - 9/30/2017)

Impact on Pending Litigation No

If yes, please explain: _____

Previous Requests for Identical or Similar Action

Resolution/Law Number 15-264, 15-62, 14-124

Date of Adoption 7/13/15, 2/9/15, 4/14/14

Justification: (State briefly why legislative action is requested)

Please see attached.

Back-up Material Submitted: (i.e., application/approval notices from funding source, bid tabulation sheet, civil service approval notice, program announcement, contracts and/or any materials which explain or support the request for legislative action.)

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1904/STSJP Justification 2016-17.docx>

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1904/STSJP OCFS Funding Info 2016-17.pdf>

<http://acvsp2010/sites/CALM/Lists/RLA/Attachments/1904/STSJP 2016-17 Coverletter.doc>

Submitted by: Gail Geohagen
Title: Commissioner

Department for Children, Youth and Families

Backup Material for Authorization to Accept Funding from the NYS Office of Children and Family Services and Authorization for Signature and Submission of a Supervision and Treatment Services for Juveniles Program Plan to New York State Office of Children and Family Services

The Department respectfully requests Legislative authorization to receive funding from NYS OCFS and to sign and submit a Supervision and Treatment Services for Juvenile Program Plan (STSJP) to NYS OCFS. The total funding allocation is \$174,643 for the term of October 1, 2016 – September 30, 2017.

NYS Supervision and Treatment Services for Juveniles Program (STSJP) provides funding to support local programming to divert youth safely from unnecessary detention and/or placement admissions. It is a key element of New York's juvenile justice reform efforts. STSJP funding is allocated to each locality by NYS OCFS.

Albany County is receiving a funding allocation of \$174,643, which will be targeted to continue to support programming at Albany County Probation Department and Albany County Family Court for juvenile justice involved youth. The goal of this programming is to prevent out of home placement of youth and maintain them safely in their community. All counties are required to sign and submit a STSJP plan to include such funded programming. As Albany County anticipated this funding in the development of the 2016 Albany County budget and the 2017 requested budget, such funding is already incorporated into the 2016 Adopted Albany County Budget and 2017 requested budget.

Albany County is well positioned to successfully provide such programming and meet the goals of the STSJP funding, as we are participating in a number of other juvenile justice system reform initiatives including the Juvenile Detention Alternative Initiative and the Regional Youth Justice Team.

The Office of Children and Family Services (OCFS) Division of Juvenile Justice and Opportunities for Youth (DJJOY) has chosen Albany County DCYF as one of six counties that comprise New York State's first cohort of official Juvenile Detention Alternative Initiative (JDAI) sites. JDAI is a nationally recognized model which strives to further reduce unnecessary and inappropriate placement of youth in secure detention. The initiative accomplishes this goal by bringing together all necessary stakeholders and cooperatively developing and implementing local strategies and alternatives to achieve this goal. In addition, JDAI brings an important and very deliberate focus on reducing racial and ethnic disparities in the juvenile justice system.

NYS has also implemented Regional Youth Justice Teams throughout the state; the goal of which is to improve juvenile justice policies, practices and programming. Albany County is one of nine counties participating in the Capital Region Youth Justice Team. Albany County is able to share the strategies being implemented locally through STSJP funding, as well as JDAI with the other participating counties in the Regional Team, but also statewide.